

# Uniformly Additive Entropic Formulas

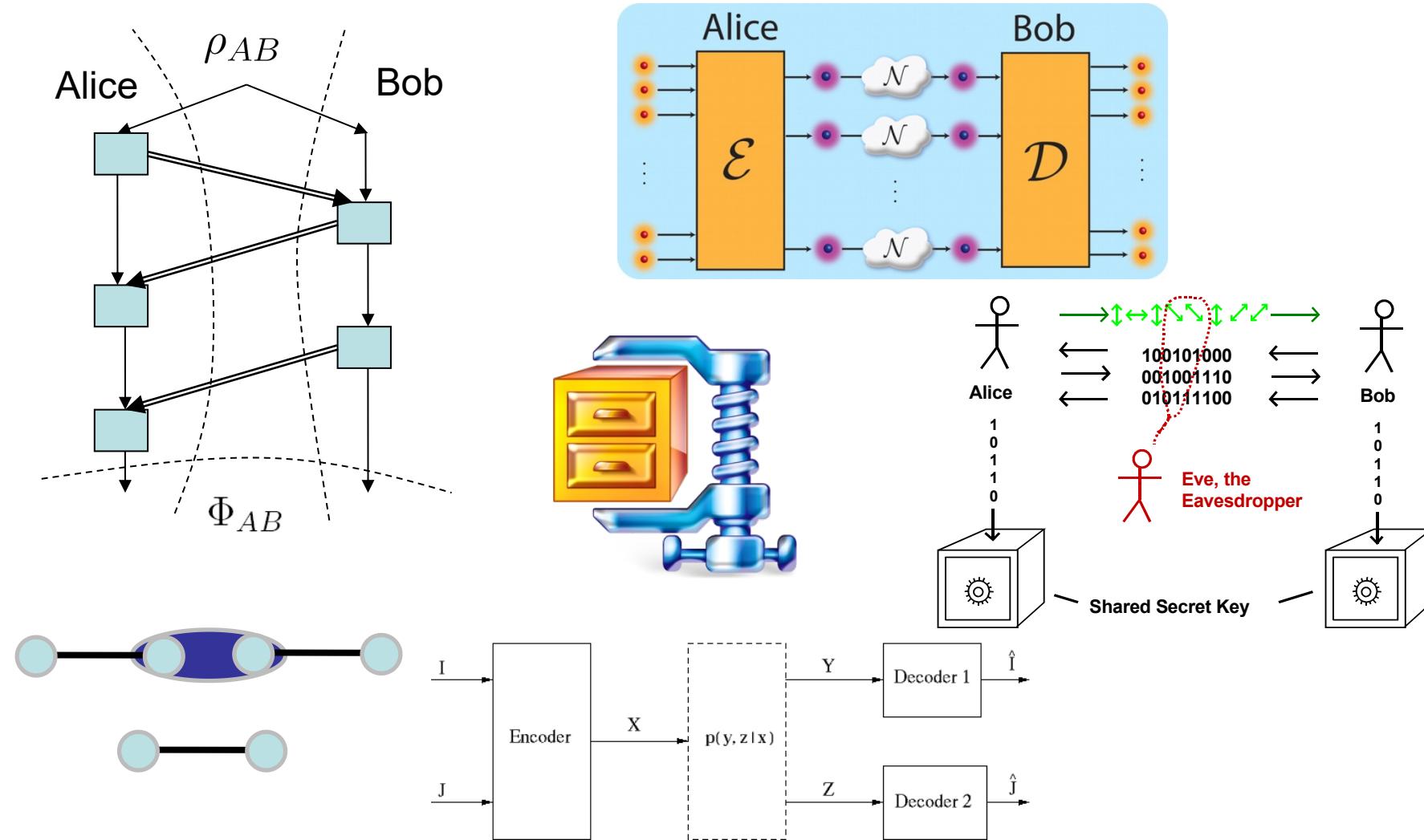
Andrew Cross, Ke Li, Graeme Smith

JILA and Department of Physics, University of Colorado Boulder

QMATH2016

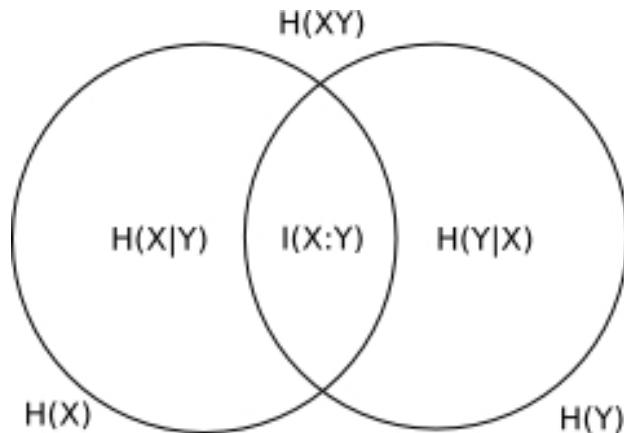
Georgia Tech  
October 9, 2016

# Information theory: optimal rates in sending, storing, processing data

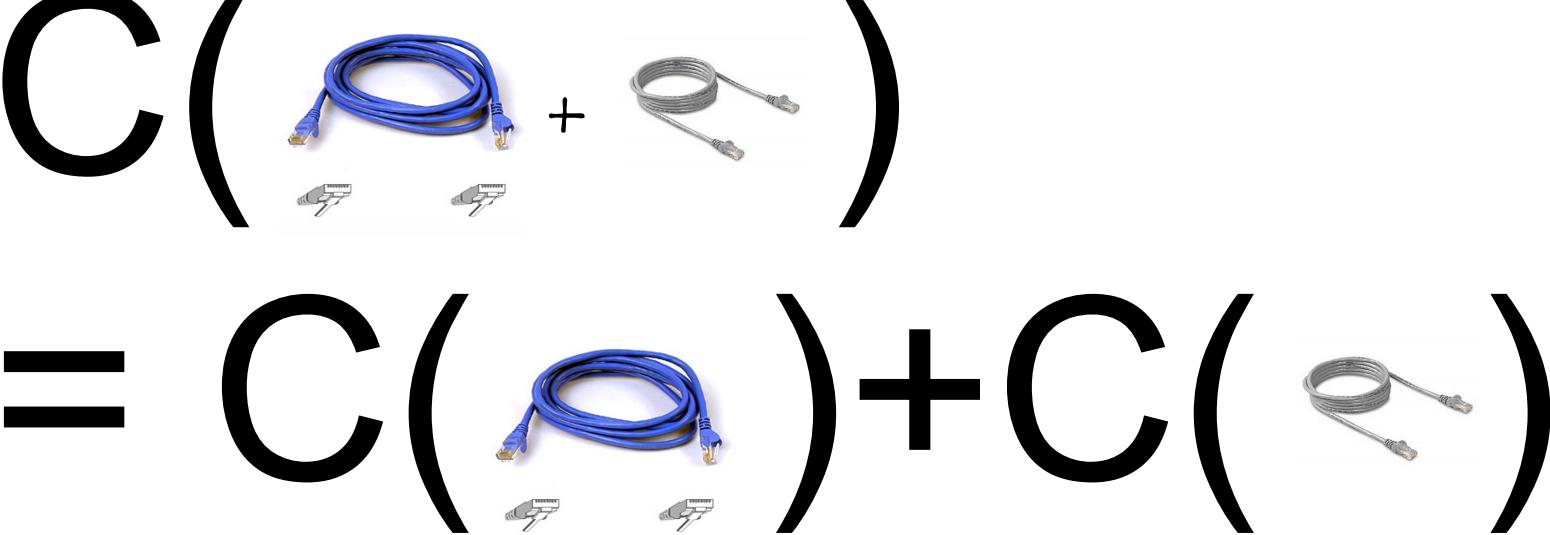


# Entropy formulas quantify the answers

- $H(X) = - \sum_x p_x \log p_x$
- $H(\rho) = -\text{Tr } \rho \log \rho$
- Optimal Compression:  $H(X)$
- Schumacher Compression:  $H(\rho)$
- Classical Channel capacity:  $\max I(X;Y)$   
 $I(X;Y) = H(X) + H(Y) - H(XY)$
- Quantum Communication:  $\max \{H(B) - H(E)\}$
- Private capacity:  $\max \{I(V;B) - I(V;E)\}$



# Additivity lets us calculate answers

$$\begin{aligned} & C( \text{ (blue cable + grey cable) } ) \\ = & C( \text{ (blue cable) } ) + C( \text{ (grey cable) } ) \end{aligned}$$


## Classical Capacity of Classical Channel

# Nonadditivity is the rule Especially quantumly

- Good: Better rates, e.g., for classical and quantum communication.
- Bad:  
Mostly don't know capacities, distillable entanglement, etc.  
Have upper and lower bounds that are far apart.

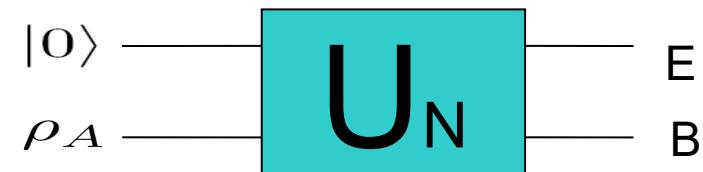


# Outline

1. Entropy formulas and their additivity proofs
2. All the uniformly additive formulas under standard decouplings
3. Standard decoupling is typical
4. Completely coherent information: a new additive quantity
5. Observation: classical-quantum correspondence

# Entropy formulas

- Quantum channel: unitary interaction with a inaccessible environment



- Entropy formula : linear combination of entropies

$$f_\alpha(U_N, \phi_{V_1 \dots V_n A}) = \sum_{s \in \mathcal{P}(V_1 \dots V_n BE)} \alpha_s H(s) \rho$$

with  $\rho_{V_1 \dots V_n BE} = (I \otimes U_N) \phi_{V_1 \dots V_n A} (I \otimes U_N^\dagger)$

- Maximized version:  $f_\alpha(U_N) = \max_{\phi_{V_1 \dots V_n A}} f_\alpha(U_N, \phi_{V_1 \dots V_n A})$
- Additivity:  $f_\alpha(U_{N_1} \otimes U_{N_2}) = f_\alpha(U_{N_1}) + f_\alpha(U_{N_2})$

# Additivity Proofs

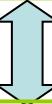
$$f_\alpha(U_{\mathcal{N}}) = \max_{\phi_{V_1 \dots V_n A}} f_\alpha(U_{\mathcal{N}}, \phi_{V_1 \dots V_n A})$$

$$f_\alpha(U_{\mathcal{N}}, \phi_{V_1 \dots V_n A}) = \sum_{s \in \mathcal{P}(V_1 \dots V_n BE)} \alpha_s H(s) \rho$$

- Enough to show subadditive:

**additive:**

$$f_\alpha(U_{\mathcal{N}_1} \otimes U_{\mathcal{N}_2}) = f_\alpha(U_{\mathcal{N}_1}) + f_\alpha(U_{\mathcal{N}_2})$$

“ $\geq$ ” is obvious 

**subadditive:**

$$f_\alpha(U_{\mathcal{N}_1} \otimes U_{\mathcal{N}_2}) \leq f_\alpha(U_{\mathcal{N}_1}) + f_\alpha(U_{\mathcal{N}_2})$$



$$f_\alpha(U_{\mathcal{N}_1} \otimes U_{\mathcal{N}_2}, \phi_{12}^*) \leq f_\alpha(U_{\mathcal{N}_1}, \phi_1^*) + f_\alpha(U_{\mathcal{N}_2}, \phi_2^*)$$

# Standard Additivity Proof

- Additivity proofs: two key steps

1) Decoupling:

$$\phi_{12} \begin{matrix} \nearrow & \searrow \\ \tilde{\phi}_1 & \hat{\phi}_2 \end{matrix}$$

2) Apply entropy inequalities to show

$$f_\alpha(U_{\mathcal{N}_1} \otimes U_{\mathcal{N}_2}, \phi_{12}) \leq f_\alpha(U_{\mathcal{N}_1}, \tilde{\phi}_1) + f_\alpha(U_{\mathcal{N}_2}, \hat{\phi}_2)$$

- We call  $f_\alpha$  uniformly (sub)-additive under the given decoupling. The set of all such formulas are called the additive cone.

# A canonical example

- Entanglement assisted capacity:

$$C_{ea}(\mathcal{N}) = \max_{\phi_{VA}} I(V; B)$$

1) Decoupling

$$\phi_{VA_1 A_2} \begin{matrix} \nearrow \\ \searrow \end{matrix} \begin{array}{l} \hat{\phi}_{\hat{V}A_2} = \phi_{VB_1 | A_2} \\ \tilde{\phi}_{\tilde{V}A_1} = \phi_{VA_1} \end{array}$$

2) Entropy inequality

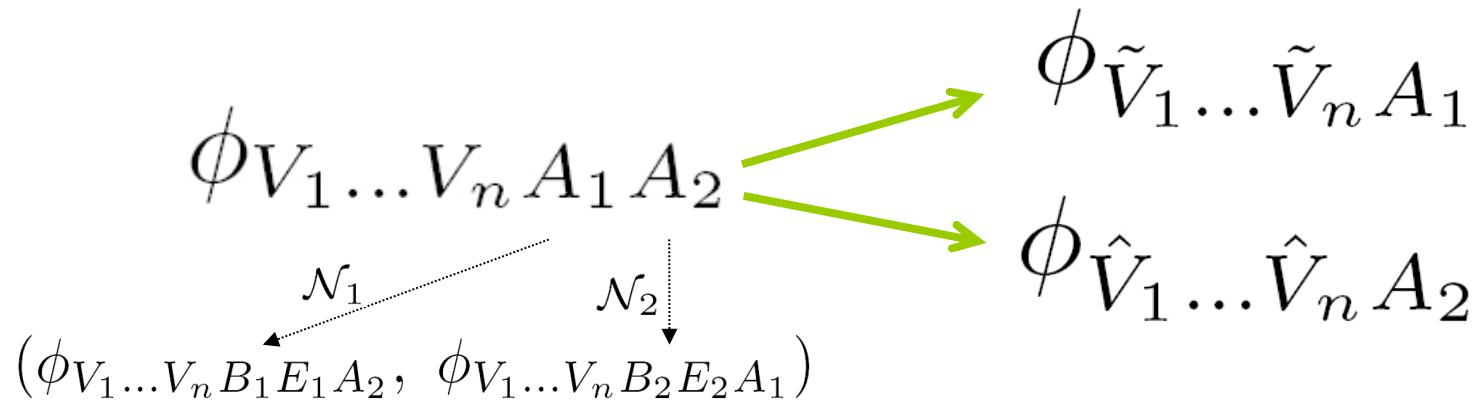
$$\begin{aligned} I(V; B_1 B_2) &= I(V; B_1) + I(V; B_2 | B_1) \\ &= I(V; B_1) + I(VB_1; B_2) - I(B_1; B_2) \\ &\leq I(V; B_1) + I(VB_1; B_2) \leq C_{ea}(\mathcal{N}_1) + C_{ea}(\mathcal{N}_2) \end{aligned}$$

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# Decoupling

- We focus on "standard decoupling".



$$\tilde{V}_i = \tilde{M}_2 V_i, \quad \tilde{M}_2 \in \mathcal{P}(B_2 E_2); \quad \hat{V}_i = \hat{M}_1 V_i, \quad \hat{M}_1 \in \mathcal{P}(B_1 E_1)$$

- Example

$$\tilde{V}_1 = V_1 B_2, \quad \tilde{V}_2 = V_2 E_2, \quad \tilde{V}_3 = V_3$$

$$\hat{V}_1 = V_1, \quad \hat{V}_2 = V_2 B_1 E_1, \quad \hat{V}_3 = V_3$$

# Entropy Inequalities

- Strong subadditivity:

$$I(A;B|C) = H(AC) + H(BC) - H(ABC) - H(C) \geq 0$$

$$\begin{aligned} [H(A) &\geq 0, H(A)+H(B)-H(AB) \geq 0, H(AB)+H(A)-H(B) \geq 0, \\ H(AB)+H(AC)-H(B)-H(C) &\geq 0] \end{aligned}$$

- There may be more, but we don't know them! (Classically, there is more:  $H(A|B) \geq 0$ , Non-Shannon inequalities.)
- Luckily, we don't need them!

# Zero Auxiliary Variable

$$f_\alpha(\mathcal{N}, \phi_A) = \alpha_B H(B) + \alpha_E H(E) + \alpha_{BE} H(BE)$$

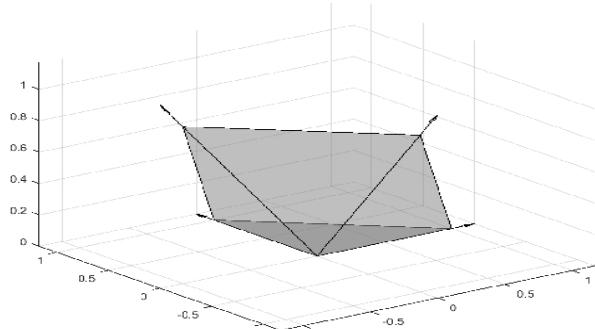
Decoupling:  $\phi_{A_1 A_2} \rightarrow (\phi_{A_1}, \phi_{A_2})$

$$\Pi^\emptyset := \{f_\alpha \mid f_\alpha(U_{\mathcal{N}_1} \otimes U_{\mathcal{N}_2}, \phi_{A_1 A_2}) \leq f_\alpha(U_{\mathcal{N}_1}, \phi_{A_1}) + f_\alpha(U_{\mathcal{N}_2}, \phi_{A_2})\}$$

**Result:** full characterization of  $\Pi^\emptyset$

$\Pi^\emptyset$  Rays

$$f_\alpha = \lambda_1 H(B) + \lambda_2 H(E) + \lambda_3 H(B|E) + \lambda_4 H(E|B)$$
$$\lambda_i \geq 0$$



$\Pi^\emptyset$  Faces

$$\alpha_B + \alpha_{BE} \geq 0$$
$$\alpha_E + \alpha_{BE} \geq 0$$
$$\alpha_B + \alpha_E + \alpha_{BE} \geq 0$$
$$\alpha_{BE} \geq 0.$$

Anything inside the cone  
is uniformly additive.  
Outside the cone, there is  
A state that makes  $f_\alpha$  not  
subadditive.

# One Auxiliary Variable

At first, consider

$$f_{\alpha^V}(\mathcal{N}, \phi_{VA}) = \alpha_V H(V) + \alpha_{BV} H(BV) + \alpha_{EV} H(EV) + \alpha_{BEV} H(BEV)$$

Fix a standard decoupling:

$$\tilde{V} \in \{V, B_2 V, E_2 V, B_2 E_2 V\} \text{ and}$$

$$\hat{V} \in \{V, B_1 V, E_1 V, B_1 E_1 V\}$$

These are labeled by  $(a, b)$   $a, b = 0 \dots 3$

for each decoupling  $(a, b)$ , define the additive cone:

$$\Pi^{V,(a,b)} :=$$

$$\{f_{\alpha^V} \mid f_{\alpha^V}(U_{\mathcal{N}_1} \otimes U_{\mathcal{N}_2}, \phi_{VA_1 A_2}) \leq f_{\alpha^V}(U_{\mathcal{N}_1}, \phi_{\tilde{V} A_1}) + f_{\alpha^V}(U_{\mathcal{N}_2}, \phi_{\hat{V} A_2})\}$$

We give a full characterization of  $\Pi^{V,(a,b)}$ .

# One Auxiliary Variable

The additive cone  $\Pi^{V,(a,b)}$

case	(a,b)	$\hat{M}_1$	$\tilde{M}_2$	equivalents	Additive Cone	Extreme Rays
1.	(3,3)	$B_1E_1$	$B_2E_2$	(0,0)	$\alpha_V + \alpha_{BV} + \alpha_{EV} \geq 0$ $\alpha_V + \alpha_{BV} \geq 0$ $\alpha_V + \alpha_{EV} \geq 0$ $\alpha_V \geq 0$	$-H(E BV)$ $-H(E V)$ $-H(B EV)$ $-H(B V)$
2.	(3,2)	$B_1E_1$	$E_2$	(2,3), (3,1) (1,3), (1,0), (0,1) (2,0), (0,2)	$\alpha_{BV} \leq 0$ $\alpha_V + \alpha_{BV} \geq 0$	$-H(BE V)$ $\pm H(B EV)$ $-H(B V)$
3.	(3,0)	$B_1E_1$	$\phi$	(0,3)	$\alpha_{EV} \leq 0$ $\alpha_{BV} \leq 0$	$H(E BV)$ $-H(E V)$ $\pm H(BE V)$
4.	(1,1)	$B_1$	$B_2$	(2,2)	$\alpha_{EV} = 0$ $\alpha_V \geq 0$ $\alpha_{BEV} \geq 0$	$-H(B V)$ $H(E BV)$
5.	(1,2)	$B_1$	$E_2$	(2,1)	$\alpha_{BEV} \geq 0$ $\alpha_V \geq 0$	$\pm [H(EV) - H(BV)]$ $H(E BV)$ $-H(E V)$

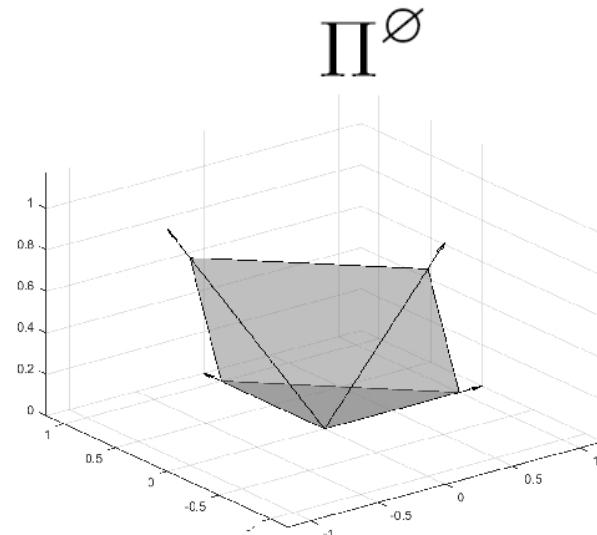
# One Auxiliary Variable

$$f_\alpha = f_{\alpha^\emptyset} + f_{\alpha^V}$$

$$f_{\alpha^\emptyset} := \alpha_B H(B) + \alpha_E H(E) + \alpha_{BE} H(BE)$$

$$f_{\alpha^V} := \alpha_V H(V) + \alpha_{BV} H(BV) + \alpha_{EV} H(EV) + \alpha_{BEV} H(BEV)$$

**Result:**  $f_\alpha(U_N)$  U.A. w.r.t.  $(a, b)$  iff  $f_{\alpha^\emptyset} \in \Pi^\emptyset$  &  $f_{\alpha^V} \in \Pi^{V,(a,b)}$



$$f_{\alpha^\emptyset}$$

$$\Pi^{V,(a,b)}$$

case	(a,b)	$\hat{M}_1$	$\hat{M}_2$	equivalents	Additive Cone	Extreme Rays
1.	(3,3)	$B_1 E_1$	$B_2 E_2$	(0,0)	$\alpha_V + \alpha_{BV} + \alpha_{EV} \geq 0$ $\alpha_V + \alpha_{BV} \geq 0$ $\alpha_V + \alpha_{EV} \geq 0$ $\alpha_V \geq 0$	$-H(E BV)$ $-H(E V)$ $-H(B EV)$ $-H(B V)$
2.	(3,2)	$B_1 E_1$	$E_2$	(2,3), (3,1) (1,3), (1,0), (0,1) (2,0), (0,2)	$\alpha_{BV} \leq 0$ $\alpha_V + \alpha_{BV} \geq 0$	$-H(BEV)$ $\pm H(B EV)$ $-H(B V)$
3.	(3,0)	$B_1 E_1$	$\phi$	(0,3)	$\alpha_{EV} \leq 0$ $\alpha_{BV} \leq 0$	$H(E BV)$ $-H(E V)$ $\pm H(BEV)$
4.	(1,1)	$B_1$	$B_2$	(2,2)	$\alpha_{EV} = 0$ $\alpha_V \geq 0$ $\alpha_{BEV} \geq 0$	$-H(B V)$ $H(E BV)$
5.	(1,2)	$B_1$	$E_2$	(2,1)	$\alpha_{BEV} \geq 0$ $\alpha_V \geq 0$	$\pm [H(EV) - H(BV)]$ $H(E BV)$ $-H(E V)$

$$f_{\alpha^V}$$

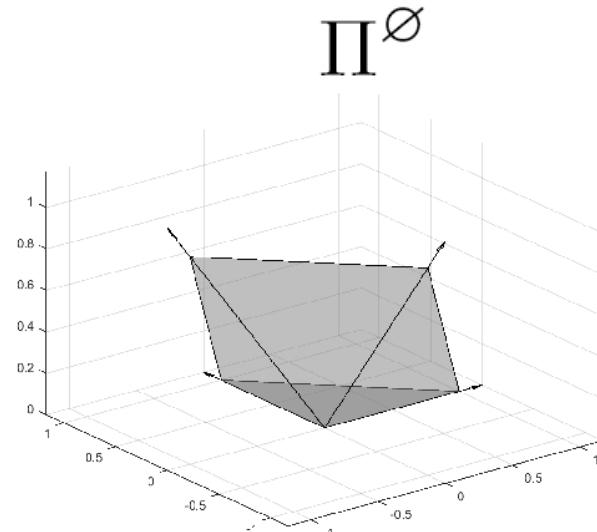
# Many Auxiliary Variables (of number n)

$$f_\alpha = \sum_{S \in \mathcal{P}(V_1 \dots V_n)} f_{\alpha^S}$$

$$f_{\alpha^S} := \alpha_S H(S) + \alpha_{BS} H(BS) + \alpha_{ES} H(ES) + \alpha_{BES} H(BES)$$

(e.g., when n=2,  $f_\alpha = f_{\alpha^\emptyset} + f_{\alpha^{V_1}} + f_{\alpha^{V_2}} + f_{\alpha^{V_1 V_2}}$  )

**Result:**  $f_\alpha(U_N)$  U.A. w.r.t.  $(a_1, b_1) \dots (a_n, b_n)$  iff  $f_{\alpha^S} \in \Pi^{S, (a_S, b_S)}$



$$f_{\alpha^\emptyset}$$

$$\prod V, (a, b)$$

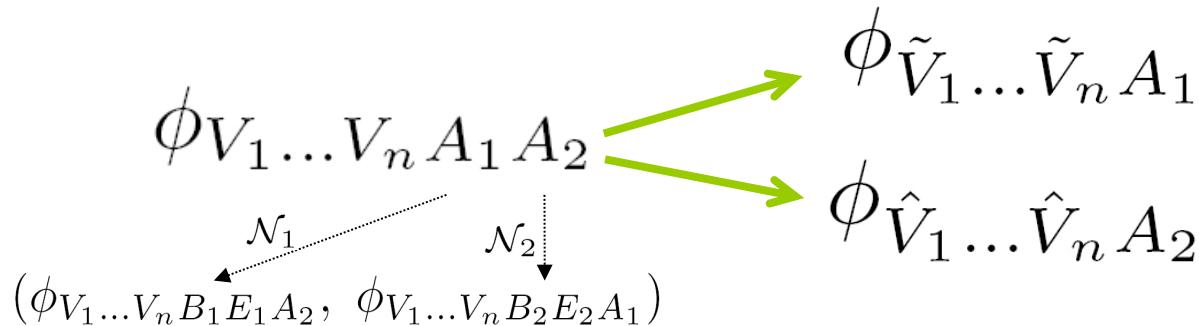
case	(a,b)	$\hat{M}_1$	$\hat{M}_2$	equivalents	Additive Cone	Extreme Rays
1.	(3,3)	$B_1 E_1$	$B_2 E_2$	(0,0)	$\alpha_V + \alpha_{BV} + \alpha_{EV} \geq 0$ $\alpha_V + \alpha_{BV} \geq 0$ $\alpha_V + \alpha_{EV} \geq 0$ $\alpha_V \geq 0$	$-H(E BV)$ $-H(E V)$ $-H(B EV)$ $-H(B V)$
2.	(3,2)	$B_1 E_1$	$E_2$	(2,3), (3,1) (1,3), (1,0), (0,1) (2,0), (0,2)	$\alpha_{BV} \leq 0$ $\alpha_V + \alpha_{BV} \geq 0$	$-H(BEV)$ $\pm H(B EV)$ $-H(B V)$
3.	(3,0)	$B_1 E_1$	$\phi$	(0,3)	$\alpha_{EV} \leq 0$ $\alpha_{BV} \leq 0$	$H(E BV)$ $-H(E V)$ $\pm H(BEV)$
4.	(1,1)	$B_1$	$B_2$	(2,2)	$\alpha_{EV} = 0$ $\alpha_V \geq 0$ $\alpha_{BEV} \geq 0$	$-H(B V)$ $H(E BV)$
5.	(1,2)	$B_1$	$E_2$	(2,1)	$\alpha_{BEV} \geq 0$ $\alpha_V \geq 0$	$\pm [H(EV) - H(BV)]$ $H(E BV)$ $-H(E V)$

$$f_{\alpha^S}, S \neq \emptyset$$

# Outline

1. Entropy formulas and their additivity proofs
2. All the uniformly additive formulas under standard decouplings
3. standard decoupling is typical
4. Completely coherent information: a new additive quantity
5. Observation: classical-quantum correspondence

# Non-standard Decouplings



- Standard decoupling (a special relabeling)

$$\tilde{V}_i = \tilde{M}_2 V_i, \quad \tilde{M}_2 \in \mathcal{P}(B_2 E_2); \quad \hat{V}_i = \hat{M}_1 V_i, \quad \hat{M}_1 \in \mathcal{P}(B_1 E_1)$$

- Consistent Decoupling (general relabeling)

$\tilde{V}_i \in \mathcal{P}(V_1 \dots V_n B_2 E_2)$  with  $\tilde{V}_i \cap \tilde{V}_j = \emptyset$ ;

$\hat{V}_i \in \mathcal{P}(V_1 \dots V_n B_1 E_1)$  with  $\hat{V}_i \cap \hat{V}_j = \emptyset$ .

**example:**  $\tilde{V}_1 = V_2 B_2, \tilde{V}_2 = V_3 E_2, \tilde{V}_3 = V_1$

$\hat{V}_1 = V_2 V_3, \hat{V}_2 = B_1, \hat{V}_3 = \emptyset$

# Non-standard Decouplings

**Result:** Among consistent decouplings,  
standard ones suffice.

- ( $\forall$ )  $f_\alpha(U_{\mathcal{N}}, \phi_{V_1 \dots V_n A})$  being uniformly subadditive  
w.r.t. a **consistent** decoupling,
- ( $\exists$ )  $f_\beta(U_{\mathcal{N}}, \varphi_{V_1 \dots V_m A})$  with  $m \leq n$ , being uniformly subadditive  
w.r.t. a **standard** decoupling, such that

$$\max_{\phi_{V_1 \dots V_n A}} f_\alpha(U_{\mathcal{N}}, \phi_{V_1 \dots V_n A}) = \max_{\varphi_{V_1 \dots V_m A}} f_\beta(U_{\mathcal{N}}, \varphi_{V_1 \dots V_m A}).$$

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# Completely Coherent Information

case	(a,b)	$\hat{M}_1$	$\tilde{M}_2$	equivalents	Additive Cone	Extreme Rays
1.	(3,3)	$B_1E_1$	$B_2E_2$	(0,0)	$\alpha_V + \alpha_{BV} + \alpha_{EV} \geq 0$ $\alpha_V + \alpha_{BV} \geq 0$ $\alpha_V + \alpha_{EV} \geq 0$ $\alpha_V \geq 0$	$-H(E BV)$ $-H(E V)$ $-H(B EV)$ $-H(B V)$
2.	(3,2)	$B_1E_1$	$E_2$	(2,3), (3,1) (1,3), (1,0), (0,1) (2,0), (0,2)	$\alpha_{BV} \leq 0$ $\alpha_V + \alpha_{BV} \geq 0$	$-H(BE V)$ $\pm H(B EV)$ $-H(B V)$
3.	(3,0)	$B_1E_1$	$\phi$	(0,3)	$\alpha_{EV} \leq 0$ $\alpha_{BV} \leq 0$	$H(E BV)$ $-H(E V)$ $\pm H(BE V)$
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5.	(1,2)	$B_1$	$E_2$	(2,1)	$\alpha_{BEV} \geq 0$ $\alpha_V \geq 0$	$\pm [H(EV) - H(BV)]$ $H(E BV)$ $-H(E V)$

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2.	(3,2)	$B_1E_1$	$E_2$	(2,3), (3,1) (1,3), (1,0), (0,1) (2,0), (0,2)	$\alpha_{BV} \leq 0$ $\alpha_V + \alpha_{BV} \geq 0$	$-H(BE V)$ $\pm H(B EV)$ $-H(B V)$
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5.	(1,2)	$B_1$	$E_2$	(2,1)	$\alpha_{BEV} \geq 0$ $\alpha_V \geq 0$	$\pm [H(EV) - H(BV)]$ $H(E BV)$ $-H(E V)$

# Completely Coherent Information

$$I^{cc}(\mathcal{N}) = \max_{\phi_{VA}} [H(VB) - H(VE)]$$

properties:

- Symmetric in  $B \leftrightarrow E$  .
- Lower bound for cost of swapping  $B$  and  $E$ .  
[J. Oppenheim and A. Winter, arXiv:quant-ph/0511082]
- Upper bound for simultaneous quantum communication rate to  $B$  and  $E$ .
- For degradable channels,  $I^{cc}(N) = Q(N) = Q^{(1)}(N)$  .
- WANT: operational meaning.

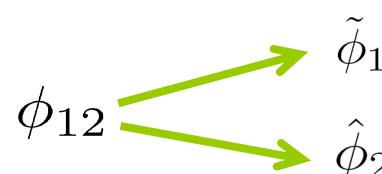
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# A Classical-Quantum Coincidence

- we can do this whole game for classical entropy formulas too.
- we get *exactly* the same set of uniformly additive functions.
- Could have been more, since there are more classical inequalities:  $H(X|Y) \geq 0$ .
- But uniform additivity only uses strong subadditivity.

# Open Questions

- Additivity other than uniform additivity?
- More general decouplings?  $\phi_{12}$  
- Completely coherent information:  
operational meaning?
- Understand classical-quantum  
correspondence better.

Thank you!