

14:52:36

## OCA PAD INITIATION - PROJECT HEADER INFORMATION

04/07/89

Project #: E-21-T18  
Center # : R6583-T18

Cost share #: E-21-327  
Center shr #: F6583-T18

Rev #: 0  
OCA file #: 128  
Work type : RES  
Document : TO  
Contract entity: GTRC

Contract#: F30602-88-D-0025-0018  
Prime #:

Mod #:

Subprojects ? : N  
Main project #:

Project unit:	EE
Project director(s):	
PARIS D T	EE

Unit code: 02.010.118  
(404)894-2902

Sponsor/division names: AIR FORCE  
Sponsor/division codes: 104

/ GRIFFISS AFB, NY  
/ 023

**Award period:** 890329 to 900128 (performance) 900228 (reports)

Sponsor amount	New this change	Total to date
Contract value	34,000.00	34,000.00
Funded	22,000.00	22,000.00
Cost sharing amount		2,444.00

Does subcontracting plan apply?: Y

Title: PARALLEL COMPUTER ARCHITECTURES FOR ROBUST PHASED ARRAY RADAR SYSTEMS

## PROJECT ADMINISTRATION DATA

OCA contact: Brian J. Lindberg 894-4820

**Sponsor technical contact**

**Sponsor issuing office**

ROBERT A. SHORE

GERARD J. BROWN/PKRM

DEPARTMENT OF THE AIR FORCE  
ROME AIR DEVELOPMENT CENTER/EEAS  
GRIFFISS AFB, NY 13441-5700

ROME AIR DEVELOPMENT CENTER  
DIRECTORATE OF CONTRACTING (PKRM)  
GRIFFISS AFB, NY 13441-5700

Security class (U,C,S,TS) : U  
Defense priority rating : D0-A7  
Equipment title vests with: Sponsor  
NONE PROPOSED OR ANTICIPATED.

ONR resident rep. is ACO (Y/N): Y  
GOVT supplemental sheet  
GIT

Administrative comments -

DELIVERY ORDER PARTIALLY FUNDS TASK E-9-7093 (MONTANA STATE UNIVERSITY)  
THROUGH 9/30/89.



GEORGIA INSTITUTE OF TECHNOLOGY  
OFFICE OF CONTRACT ADMINISTRATION

NOTICE OF PROJECT CLOSEOUT

Closeout Notice Date 01/28/91

Project No. E-21-T18 \_\_\_\_\_ Center No. R6583-T18 \_\_\_\_\_

Project Director JOY E B \_\_\_\_\_ School/Lab ELEC ENGR \_\_\_\_\_

Sponsor AIR FORCE/GRIFFISS AFB, NY \_\_\_\_\_

Contract/Grant No. F30602-88-D-0025-0018 \_\_\_\_\_ Contract Entity GTRC

Prime Contract No. \_\_\_\_\_

Title PARALLEL COMPUTER ARCHITECTURES FOR ROBUST PHASED ARRAY RADAR SYSTEMS \_\_\_\_\_

Effective Completion Date 900831 (Performance) 900930 (Reports)

Closeout Actions Required:	Y/N	Date Submitted
Final Invoice or Copy of Final Invoice	Y	_____
Final Report of Inventions and/or Subcontracts	Y	_____
Government Property Inventory & Related Certificate	Y	_____
Classified Material Certificate	Y	_____
Release and Assignment	Y	_____
Other _____	N	_____

Comments \_\_\_\_\_

Subproject Under Main Project No. \_\_\_\_\_

Continues Project No. \_\_\_\_\_

Distribution Required:

Project Director	Y
Administrative Network Representative	Y
GTRI Accounting/Grants and Contracts	Y
Procurement/Supply Services	Y
Research Property Management	Y
Research Security Services	Y
Reports Coordinator (OCA)	Y
GTRC	Y
Project File	Y
Other _____	N
_____	N

NOTE: Final Patent Questionnaire sent to PDPI.

CONTRACT FUNDS STATUS REPORT (DD FORM 1586)  
CONTRACT NUMBER F30602-88-D-0025  
QUARTER: MAY-JUN '88

CURRENT QUARTER FUNDING \$0.00

CURRENT QUARTER EXPENDITURES \$0.00

CONTRACT CEILING \$4,200,000.00

FUNDING TO DATE - \$0.00

\* PENDING COMMITMENTS - \$766,000.00

AVAILABLE FUNDING \$3,434,000.00

FUNDING TO DATE \$0.00

YTD EXPENDITURES - \$0.00

OUTSTANDING EXPENDITURES \$0.00

\* C-8-2120 WESTINGHOUSE/BEAUDET \$56,000.00  
C-8-2129 RENSSELAER/DAS \$100,000.00  
E-8-7066 UNIV OF PENN/STEINBERG \$100,000.00  
E-8-7124 BOSTON COLLEGE/McFADDEN \$35,000.00  
E-8-7125 BRANDEIS UNIV/HENCHMAN \$23,000.00  
E-8-7126 PENN STATE/CASTLEMAN \$22,000.00  
A-8-1631 UNIV OF PENN/STEINBERG \$100,000.00  
B-8-3617 GA WASHINGTON UNIV/MELTZER \$100,000.00  
B-8-3618 GA WASHINGTON UNIV/BERKOVICH \$100,000.00  
C-8-2492 GA TECH/SMITH \$50,000.00  
A-8-1203 GA TECH/HUGHES \$80,000.00

TOTAL PENDING \$766,000.00

CONTRACT FUNDS STATUS REPORT (DD FORM 1586)  
CONTRACT NUMBER F30602-88-D-0025  
QUARTER: JUL-SEPT '88

CURRENT QUARTER FUNDING \$698,034.00

DO # 0001	\$56,000
0002	\$95,141
0003	\$78,854
0004	\$230,000
0005	\$45,561
0006	\$25,000
0007	\$20,000
0008	\$98,374
0009	\$29,403
0010	\$19,701
	-----
	\$698,034

CURRENT QUARTER EXPENDITURES \$0.00

CONTRACT CEILING	\$4,200,000.00
FUNDING TO DATE	- \$698,034.00
* PENDING COMMITMENTS	- \$426,563.00

AVAILABLE FUNDING \$3,075,403.00

FUNDING TO DATE	\$698,034.00
YTD EXPENDITURES	- \$0.00

OUTSTANDING EXPENDITURES \$698,034.00

* DO # 0001	INCREMENTAL FUNDING	\$90,729.00
0002	INCREMENTAL FUNDING	\$66,680.00
0003	INCREMENTAL FUNDING	\$54,154.00
0004	INCREMENTAL FUNDING	\$20,000.00
C-8-2400	STATE UNIV OF NY/FAM	\$95,000.00
C-8-2402	RENSSELAER/SAULNER	\$100,000.00
		-----
	TOTAL PENDING	\$426,563.00

CONTRACT FUNDS STATUS REPORT (DD FORM 1586)  
CONTRACT NUMBER F30602-88-D-0025  
QUARTER: OCT-DEC '88

CURRENT QUARTER FUNDING	\$120,834.00
DO # 0004	\$66,680
0006	\$54,154
	-----
	\$120,834

CURRENT QUARTER EXPENDITURES	\$28,740.82
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CONTRACT CEILING	\$4,200,000.00
FUNDING TO DATE	- \$818,868.00
* PENDING COMMITMENTS	- \$784,729.00
	-----
AVAILABLE FUNDING	\$2,596,403.00

FUNDING TO DATE	\$818,868.00
YTD EXPENDITURES	- \$28,740.82
	-----
OUTSTANDING EXPENDITURES	\$790,127.18

* DO # 0001	INCREMENTAL FUNDING	\$90,729.00
0007	INCREMENTAL FUNDING	\$20,000.00
C-8-2400	STATE UNIV OF NY/FAM	\$95,000.00
C-8-2402	RENSSELAER/SAULNER	\$100,000.00
B-9-3592	UNIV OF CA/DAVIS/LEVITT	\$60,000.00
N-9-5514	SOHAR INC./HECHT	\$50,000.00
C-9-2015	NCS/O'NEAL	\$100,000.00
A-9-1120	HITEC, INC./KAZAKOS	\$75,000.00
E-9-7057	UNIV OF TX/ARLINGTON/FUNG	\$40,000.00
E-9-7093	MONTANA STATE/JOHNSON	\$34,000.00
S-9-7552	ALFRED UNIV/SYNDER	\$20,000.00
C-9-2404	STANFORD UNIV/WIDROW	\$100,000.00
		-----
	TOTAL PENDING	\$784,729.00

CONTRACT FUNDS STATUS REPORT (DD FORM 1586)  
CONTRACT NUMBER F30602-88-D-0025  
QUARTER: JAN-MAR '89

CURRENT QUARTER FUNDING \$574,457.00

DO # 0001	\$90,729
0011	\$75,000
0012	\$75,000
0013	\$59,989
0014	\$49,989
0015	\$70,000
0016	\$43,750
0017	\$30,000
0018	\$22,000
0019	\$38,000
0020	\$20,000
	-----
	\$574,457

CURRENT QUARTER EXPENDITURES \$86,324.15

CONTRACT CEILING	\$4,200,000.00
FUNDING TO DATE	- \$1,393,325.00
* PENDING COMMITMENTS	- \$594,651.00

AVAILABLE FUNDING	-----
	\$2,212,024.00

FUNDING TO DATE	\$1,393,325.00
YTD EXPENDITURES	- \$115,064.97

OUTSTANDING EXPENDITURES	-----
	\$1,278,260.03

* DO # 0007	INCREMENTAL FUNDING	\$20,000.00
0011	INCREMENTAL FUNDING	\$19,568.00
0012	INCREMENTAL FUNDING	\$24,700.00
0015	INCREMENTAL FUNDING	\$29,783.00
0016	INCREMENTAL FUNDING	\$31,250.00
0017	INCREMENTAL FUNDING	\$10,000.00
0018	INCREMENTAL FUNDING	\$12,000.00
0019	INCREMENTAL FUNDING	\$12,000.00
C-8-2404	STANFORD UNIV/WIDROW	\$100,000.00
N-9-5732	GRIFFIN	\$25,000.00
A-9-1476	BOWDOIN COLLEGE/CHONACKY	\$20,350.00
E-9-7110	UNIV OF LOWELL/SALES	\$50,000.00
S-9-7559	UNIV OF MICHIGAN/ROBINSON	\$20,000.00
B-9-3621	SRI/LUNT	\$20,000.00
N-9-5308	KAMAN SCIENCES	\$100,000.00
E-9-7119	DARTMOUTH COLLEGE/CRANE	\$100,000.00
		-----
	TOTAL PENDING	\$594,651.00

CONTRACT FUNDS STATUS REPORT (DD FORM 1586)  
CONTRACT NUMBER F30602-88-D-0025  
QUARTER: APR-JUN '89

CURRENT QUARTER FUNDING	\$160,350.00
DO # 0021	\$25,000
0022	\$45,000
0023	\$20,350
0024	\$50,000
0025	\$20,000
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	\$160,350

CURRENT QUARTER EXPENDITURES	\$318,963.82
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CONTRACT CEILING	\$4,200,000.00
FUNDING TO DATE	- \$1,553,675.00
* PENDING COMMITMENTS	- \$718,994.00
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AVAILABLE FUNDING	\$1,927,331.00

FUNDING TO DATE	\$1,553,675.00
YTD EXPENDITURES	- \$434,028.79
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OUTSTANDING EXPENDITURES	\$1,119,646.21

* DO # 0007	INCREMENTAL FUNDING	\$20,000.00
0011	INCREMENTAL FUNDING	\$19,568.00
0012	INCREMENTAL FUNDING	\$24,700.00
0015	INCREMENTAL FUNDING	\$29,783.00
0016	INCREMENTAL FUNDING	\$31,250.00
0017	INCREMENTAL FUNDING	\$10,000.00
0018	INCREMENTAL FUNDING	\$12,000.00
0019	INCREMENTAL FUNDING	\$12,000.00
0022	INCREMENTAL FUNDING	\$54,693.00
B-9-3621	SRI/LUNT	\$20,000.00
N-9-5308	KAMAN SCIENCES	\$100,000.00
E-9-7119	DARTMOUTH COLLEGE/CRANE	\$100,000.00
N-9-5740	CHRISTIANSON	\$15,000.00
N-9-5317	UNIV OF CO/NORGARD	\$50,000.00
S-9-7625	UNIV OF CA/DAVIS/KOWELL	\$20,000.00
N-9-5314	KAMAN SCIENCES	\$100,000.00
N-9-5315	KAMAN SCIENCES	\$100,000.00
		-----
	TOTAL PENDING	\$718,994.00

E-21-T18

CONTRACT FUNDS STATUS REPORT (DD FORM 1586)  
CONTRACT NUMBER F30602-88-D-0025  
QUARTER: JUL-SEP '89

CURRENT QUARTER FUNDING \$476,000.00

DO #	0017	\$10,000
	0026	\$15,000
	0027	\$20,000
	0028	\$50,000
	0029	\$40,000
	0030	\$30,000
	0031	\$20,000
	0032	\$66,000
	0033	\$70,000
	0034	\$85,000
	0035	\$70,000

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\$476,000

CURRENT QUARTER EXPENDITURES \$415,422.69

CONTRACT CEILING \$4,200,000.00

FUNDING TO DATE - \$2,029,675.00

\* PENDING COMMITMENTS - \$253,994.00

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AVAILABLE FUNDING \$1,916,331.00

FUNDING TO DATE \$2,029,675.00

YTD EXPENDITURES - \$849,451.48

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OUTSTANDING EXPENDITURES \$1,180,223.52

\* DO # 0007 INCREMENTAL FUNDING \$20,000.00

0011 INCREMENTAL FUNDING \$19,568.00

0012 INCREMENTAL FUNDING \$24,700.00

0015 INCREMENTAL FUNDING \$29,783.00

0016 INCREMENTAL FUNDING \$31,250.00

0018 INCREMENTAL FUNDING \$12,000.00

0019 INCREMENTAL FUNDING \$12,000.00

0022 INCREMENTAL FUNDING \$54,693.00

N-0-5703 UNIV OF SOUTHERN FLA/WILSON \$50,000.00

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TOTAL PENDING \$253,994.00



CONTRACT FUNDS STATUS REPORT (DD FORM 1586)  
CONTRACT NUMBER F30602-88-D-0025  
QUARTER: OCT-DEC '89

CURRENT QUARTER FUNDING \$292,994.00

DO # 0001	\$9,000	C-8-2129
0011	\$19,568	C-8-2400
0012	\$24,700	C-8-2402
0015	\$29,783	C-9-2015
0016	\$31,250	A-9-1120
0018	\$12,000	E-9-7093
0019	\$62,000	C-9-2109
0022	\$54,693	C-9-2404
0028	\$50,000	N-9-5308

-----  
\$292,994

CURRENT QUARTER EXPENDITURES \$286,691.16

CONTRACT CEILING \$4,200,000.00

FUNDING TO DATE - \$2,322,669.00

\* PENDING COMMITMENTS - \$595,000.00

-----  
AVAILABLE FUNDING \$1,282,331.00

FUNDING TO DATE \$2,322,669.00

YTD EXPENDITURES - \$1,136,142.64

-----  
OUTSTANDING EXPENDITURES \$1,186,526.36

\* DO # 0007 S-8-7592 INCREMENTAL FUNDING \$20,000.00

0029 E-9-7119 INCREMENTAL FUNDING \$60,000.00

0030 N-9-5317 INCREMENTAL FUNDING \$20,000.00

0034 N-9-5314 INCREMENTAL FUNDING \$15,000.00

0016 N-9-5315 INCREMENTAL FUNDING \$30,000.00

N-0-5703 UNIV OF SOUTHERN FLA/WILSON \$50,000.00

A-0-1102 UNIV OF CA/SMOOT, BARBER, GT \$100,000.00

P-0-6011 NCSU/VANDERLUGT \$100,000.00

C-0-2456 NEW JERSEY INST/BAR-NESS \$100,000.00

P-0-6014 STEVENS INST/ZMUDA \$100,000.00

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TOTAL PENDING \$595,000.00

WAITING FOR PROPOSALS: P-0-6018 UAH/CAULFIELD  
P-0-6021 GT/SUMNERS  
P-0-6022 CORNELL UNIV/TANG  
B-0-3353 ROCHESTER INST/LASKY

CONTRACT FUNDS STATUS REPORT (DD FORM 1586)  
 CONTRACT NUMBER F30602-88-D-0025  
 QUARTER: JAN-MAR '90

CURRENT QUARTER FUNDING			\$114,301.00
DO #	0007	\$9,000	S-8-7592
	0029	\$19,568	E-9-7119
	0030	\$24,700	N-9-5317
	0036	\$29,783	P-0-6014
	0037	\$31,250	P-0-6011
		\$114,301	

CURRENT QUARTER EXPENDITURES	\$376,743.62
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CONTRACT CEILING	\$4,200,000.00
FUNDING TO DATE	- \$2,436,970.00
* PENDING COMMITMENTS	- \$532,800.00
	\$1,230,230.00
AVAILABLE FUNDING	

FUNDING TO DATE	\$2,436,970.00
YTD EXPENDITURES	- \$1,512,886.26
	\$924,083.74
OUTSTANDING EXPENDITURES	

*	DO#	0034	N-9-5314	INCREMENTAL FUNDING	\$15,000.00
		0035	N-9-5315	INCREMENTAL FUNDING	\$30,000.00
		0037	P-0-6011	INCREMENTAL FUNDING	\$10,000.00
		N-0-5703	UNIV OF SOUTHERN FLA/WILSON		\$50,000.00
		A-0-1402	UNIV OF CA/SMOOT, BARBER, GT		\$100,000.00
		C-0-2456	NEW JERSEY INST/BAR-NESS		\$100,000.00
		P-0-6021	GT/SUMNERS		\$100,000.00
		P-0-6022	CORNELL UNIV/TANG		\$30,800.00
		B-0-3353	ROCHESTER INST/LASKY		\$20,000.00
		P-0-6018	UAH/CAULFIELD		\$77,000.00
					\$532,800.00
		TOTAL PENDING			

WAITING FOR PROPOSALS:	P-0-6018	UAH/CAULFIELD
	P-0-6021	GT/SUMNERS
	P-0-6022	CORNELL UNIV/TANG
	B-0-3353	ROCHESTER INST/LASKY

ROME AIR DEVELOPMENT CENTER  
EXPERT SCIENCE AND ENGINEERING PROGRAM  
CONTRACT NO. F30602-88-D-0025

R & D STATUS REPORT

PERIOD COVERED: March 29, 1989 - Sept. 30, 1989

TASK NUMBER: E-9-7093

TITLE: Analysis of Parallel Computer Architectures Algorithms for Robust  
PRINCIPAL INVESTIGATOR: Phased Array Radar Systems  
Ray Johnson

INSTITUTION: Dept. of Elect. Eng., Montana State University  
OTHER PARTICIPANTS AND TITLES:

Mr. David Virag - graduate student

A. TECHNICAL PROGRESS ACHIEVED ON EFFORT:

- 1.) Literature has been reviewed
- 2.) Griffith Algorithm for Pattern Adaptation has been programmed.
- 3.) Effect of Element failures on resulting patterns have been simulated and evaluated quantitatively
- 4.) Griffith Algorithm has been modified to satisfy a priori constraints imposed by failures and new performance simulated
- 5.) Report covering progress submitted to Robert Shore - RADC Engineer for evaluation

PAGE TWO  
R & D STATUS REPORT

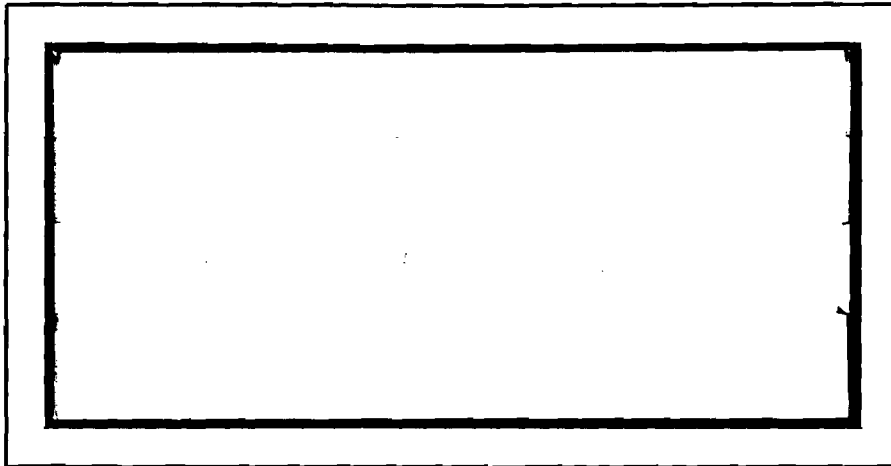
B. TRAVEL: *None*

C. PRESENTATIONS AND PUBLICATIONS:

*Major Report "An Adaptive Algorithm for Weight Adjustment Due To Random Element Failure," has been forwarded to Robert A. Shore for review.*

D. LEVEL OF EFFORT BY EACH CONTRIBUTOR (IN MAN-MONTHS OR MAN-HOURS)

*Roy Johnson 75 hours*  
*David Viay 375 hours*



**MONTANA STATE UNIVERSITY**

**Electronics Research Laboratory**

**BOZEMAN, MONTANA**

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**A Reduced Order Generalized Sidelobe Canceller Algorithm  
For Random Element Failure Compensation**

**Final Technical Progress Report**

**by**

**David Virag and Roy Johnson  
Department of Electrical Engineering  
Montana State University  
Bozeman, MT 59717-0007  
(406)-994-4271**

**Project:**

**Analysis of Parallel Computer Architecture Algorithms  
For Robust Phased Array Radar Systems**

**ERL REPORT 290151-1**

**Contract: F30602-88-D-0025  
Georgia Institute of Technology  
Subcontract No.: E-21-T18-S1  
Department of Electrical Engineering  
Montana State University  
A Research Project Sponsored by  
United States Department of the Airforce  
Rome Air Development Center  
Griffiss Air Force Base  
New York 13441-5700**

**A Reduced Order Generalized Sidelobe Canceller Algorithm  
For Random Element Failure Compensation**

**D. E. Virag**

**Montana State University**

**ABSTRACT**

This paper demonstrates a method of reducing an adaptive array algorithm to accommodate for random catastrophic element failures in an equally spaced linear narrow-band adaptive array. The Generalized Sidelobe Canceller (GSC) algorithm is reduced by the number of failed elements in the array. The reduced Generalized Sidelobe Canceller algorithm is shown to satisfy desired constraints when the number of active elements is greater than the total number of constraints. Several examples are presented showing improvement of the modified GSC algorithm over the original algorithm when failures are present. Computational considerations are discussed when the reduced GSC algorithm is used. Several suggestions are given for logical research extension relative to the reduced GSC algorithm.

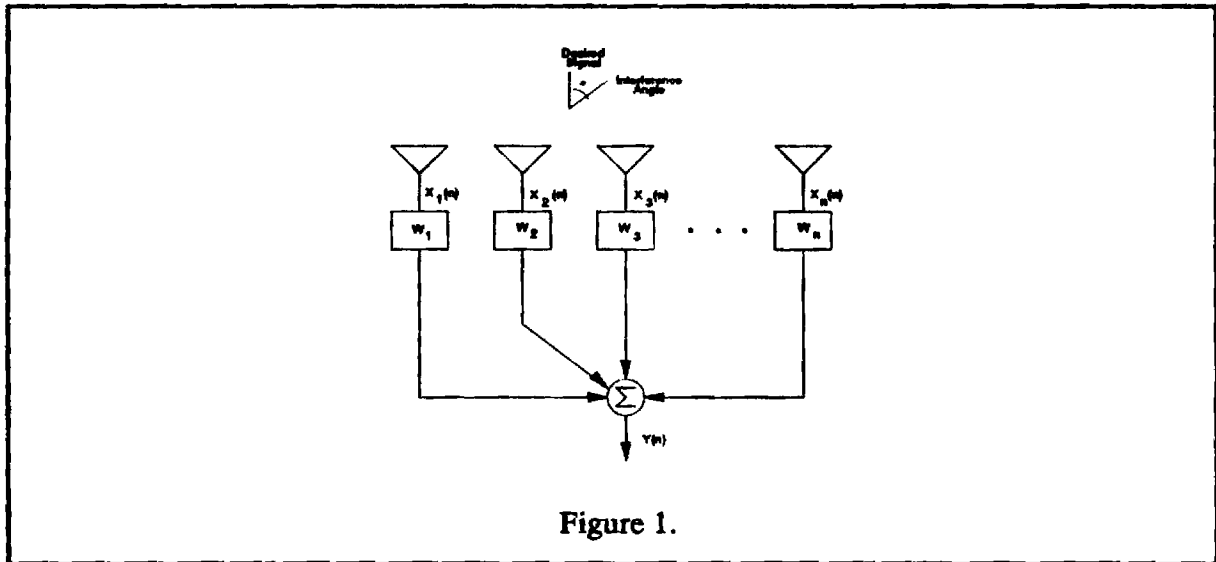
**INTRODUCTION**

Adaptive array algorithms have been studied extensively over the past 30 years [1]-[4]. Several techniques have been shown to be effective for narrowband spatial filtering in the presence of noise and interference signals. While the general adaptive array problem is well formulated, little work has been done on array adaptation in the face of catastrophic element failure. Element failure effectively transforms a linear equally-spaced array into an unequally-spaced array. Typical research approaches have been directed at using search techniques to optimize randomly spaced arrays. A methodical process for optimizing the weight vector given the known information of the array structure should be available since a linear array with element failures

demonstrates some regularity. The Generalized Sidelobe Canceller, derived by Griffiths *et al.* [5]-[7], provides a vehicle for dealing with these element failures when the failed element positions are known a priori.

### OPTIMUM ARRAY PROCESSING

Fig. 1 describes the block diagram of the typical array processing system. Given the general signal environment statistics, determine the optimal set of weights  $W$ , so that the output  $y(n)$  is optimal in some sense to the desired input  $d(n)$  in the presence of both uncorrelated white noise and jamming signals.



The actual signal measured at the array is  $x(t) = d(t) + n(t) + i(t)$ , where  $d(t)$  is the actual desired signal,  $n(t)$  is the noise present which is assumed to be Gaussian and  $i(t)$  is a signal associated with jamming interference. All of the second order statistics are assumed to be stationary. The three input signals are assumed to be uncorrelated with respect to each other, therefore  $R_{xx}(t) = R_{dd}(t) + R_{nn}(t) + R_{ii}(t)$ , where



$$R_{dd} = \begin{bmatrix} E[d(1)d(1)] & E[d(1)d(2)] & \dots & E[d(1)d(n)] \\ E[d(2)d(1)] & E[d(2)d(2)] & \dots & E[d(2)d(n)] \\ \vdots & \vdots & \ddots & \vdots \\ E[d(n)d(1)] & \dots & \dots & E[d(n)d(n)] \end{bmatrix} = \begin{bmatrix} \sigma_d^2 e^{j(1-1)} & \sigma_d^2 e^{j(2-1)} & \dots & \sigma_d^2 e^{j(n-1)} \\ \sigma_d^2 e^{j(1-2)} & \sigma_d^2 e^{j(2-2)} & \dots & \sigma_d^2 e^{j(n-2)} \\ \vdots & \vdots & \ddots & \vdots \\ \sigma_d^2 e^{j(n-1)} & \sigma_d^2 e^{j(n-2)} & \dots & \sigma_d^2 e^{j(n-n)} \end{bmatrix}$$

$$R_{nn} = \begin{bmatrix} E[n(1)n(1)] & E[n(1)n(2)] & \dots & E[n(1)n(n)] \\ E[n(2)n(1)] & E[n(2)n(2)] & \dots & E[n(2)n(n)] \\ \vdots & \vdots & \ddots & \vdots \\ E[n(n)n(1)] & \dots & \dots & E[n(n)n(n)] \end{bmatrix} = \begin{bmatrix} \sigma_n^2 & 0 & 0 & \dots & 0 \\ 0 & \sigma_n^2 & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & \dots & 0 & 0 & \sigma_n^2 \end{bmatrix}$$

$$R_{ii} = \begin{bmatrix} E[i(1)i(1)] & E[i(1)i(2)] & \dots & E[i(1)i(n)] \\ E[i(2)i(1)] & E[i(2)i(2)] & \dots & E[i(2)i(n)] \\ \vdots & \vdots & \ddots & \vdots \\ E[i(n)i(1)] & \dots & \dots & E[i(n)i(n)] \end{bmatrix} = \begin{bmatrix} \sigma_i^2 e^{j(1-1)\alpha} & \sigma_i^2 e^{j(2-1)\alpha} & \dots & \sigma_i^2 e^{j(n-1)\alpha} \\ \sigma_i^2 e^{j(1-2)\alpha} & \sigma_i^2 e^{j(2-2)\alpha} & \dots & \sigma_i^2 e^{j(n-2)\alpha} \\ \vdots & \vdots & \ddots & \vdots \\ \sigma_i^2 e^{j(n-1)\alpha} & \sigma_i^2 e^{j(n-2)\alpha} & \dots & \sigma_i^2 e^{j(n-n)\alpha} \end{bmatrix}$$

where:

$$\alpha = 2\pi d \sin(\theta)$$

$d$  is the fractional wavelength distance between elements at the desired frequency, and  $\sigma_i^2$  is the variance of the  $i$ th signal. The matrix formulation for  $R_{dd}$  assumes the desired signal is broadside to the array while matrix form  $R_{ii}$  assumes interference from angle  $\theta$  measured from broadside to the array.

If minimum output power is the desired optimization condition, we have,

$$\min_w \{E[(XW)^H XW]\} = \min_w \{W^H R_{xx} W\} \quad (1)$$

which has the solution

$$W_{opt} = R_{xx}^{-1} r_{xd} \quad (2)$$

where:

$$r_{sd} = \begin{bmatrix} E[x(1)d(1)] \\ E[x(2)d(2)] \\ \vdots \\ E[x(n)d(n)] \end{bmatrix} \quad (3)$$

This solution for the optimal weight vector is effective when the signal to noise ratio (SNR) is low. If the desired signal power is large compared to the interference and noise, this algorithm will penalize the signal by minimizing the overall power, therefore reducing the SNR.

### CONSTRAINED ARRAY PROCESSING

The inclusion of constraints in the formulation of the optimization problem leads to more control over desired beam patterns in addition to the ability to null out known interference signals. The constraint equations are formulated as:

$$C^H W = f \quad (4)$$

where:

$C \Leftrightarrow$  Constraint Matrix

$f \Leftrightarrow$  Forcing Vector

If the desired signal is broadside to the array and is required to have 0 dB gain, and L interference signals from L directions are to be nulled out, the C matrix will take the form

$$C = \begin{bmatrix} 1 & 1 & \dots & 1 \\ 1 & e^{j\alpha_1} & \dots & e^{j\alpha_L} \\ \vdots & \vdots & \ddots & \vdots \\ 1 & e^{j(N-1)\alpha_1} & \dots & e^{j(N-1)\alpha_L} \end{bmatrix} \quad (5)$$

where  $\alpha_i = 2\pi d \sin(\theta_i)$  and  $\theta_i$  is the direction of the  $i$ th interference signal.

$C$  is an  $N \times L$  matrix, where  $N$  is the number of elements and  $L$  is the number of desired constraints.

The response vector  $f$  is

$$f = [1 \ 0 \ 0 \ \dots \ 0]^T \quad (6)$$

where the 1 represents 0db gain at broadside and the zeros correspond to 0 gain at the desired null angles.

The constrained optimal weight vector is now that which is closest to the unconstrained vector and satisfies the constraints given. The performance measure to minimize can be given from (2) as

$$\text{Min}_W \{R_{xx}W - r_{xd}\} \quad (7a)$$

subject to:

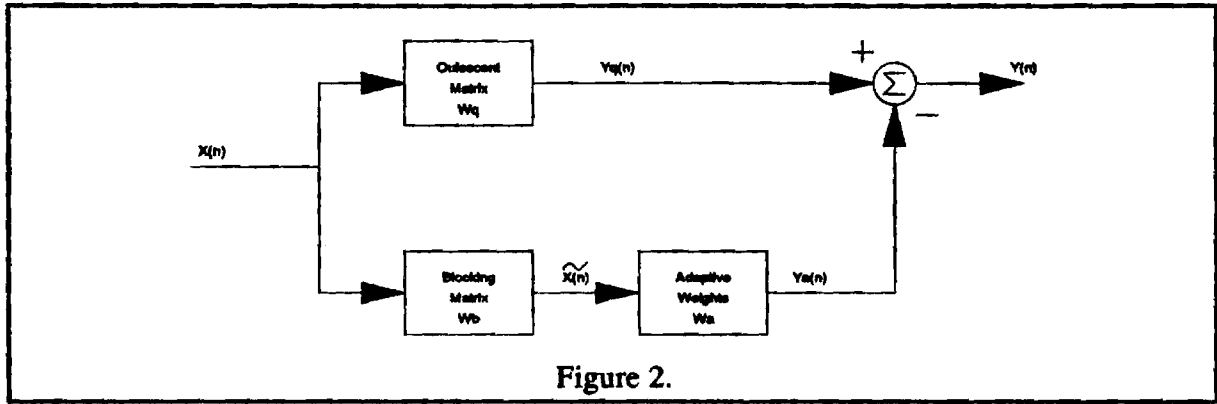
$$C^H W = f \quad (7b)$$

which has the solution

$$W_{opt} = R_{xx}^{-1} C (C^H R_{xx}^{-1} C)^{-1} f \quad (8)$$

### GENERALIZED SIDELobe CANCELLER

An equivalent representation of the constrained array problem was derived by Griffiths. The Generalized Sidelobe Canceller (GSC) is a transformation of the general constrained optimization problem into a deterministic weight equation and an unconstrained optimal weight equation. This topology is more convenient for implementation due to its reduced number of computations. Fig. 2 shows a block diagram for the basic GSC.



The parallel paths of the GSC represent the quiescent weights and adaptive weights. The quiescent weights  $W_q$  represent the general solution to the adaptive array problem with constraints and will give the desired pattern given only noise on the input elements. The constraints include array pattern, array sidelobe specifications, and spatial nulling. The lower path contains the data dependant adaptive weights,  $W_a$ , which are statistically determined for a mean squared error (MSE) approximation (neglecting constraints) to the upper quiescent weights.

The weight vector from the general constrained array problem can be decomposed into two orthogonal spaces which span the range and null space of  $C$  [8]. Any desired weight vector can be represented as the sum of the two orthogonal vectors, one vector spanning the range space of  $C$ , the other spanning the null space of  $C$ . The weight vector in the null space of the constraint matrix will have no effect on the desired constraints and can be optimized accordingly.

Let  $W = W_q - V$  where  $W_q$  is in the range of  $C$  and  $V$  is in the null space of  $C$ . From Fig. 2,  $V = W_b W_a$ , also  $W_q = C(C^H C)^{-1} f$  to satisfy the constraint equations. Therefore, to minimize total output power, we get

$$\text{Min}_{W_a} \{ (W_q - W_b W_a) R_{xx} (W_q - W_b W_a)^H \} \quad (9)$$

The optimal  $W_a$  can be solved using classical optimization techniques. Define the performance measure  $J$  as

$$J = [W_q - W_b W_a]^H R_{xx} [W_q - W_b W_a] \quad (10a)$$

$$= W_q^H R_{xx} W_q - W_q^H R_{xx} W_b W_a - W_a^H W_b^H R_{xx} W_q + W_a^H W_b^H R_{xx} W_b W_a \quad (10b)$$

The second and third terms of (10b) are scalars and can be combined since the transpose of a scalar is unaffected. Taking the gradient of  $J$  with respect to  $W_a$  gives

$$\nabla_{W_a} J = 0 = -2W_q^H R_{xx} W_b + 2W_b^H R_{xx} W_b W_a \quad (11)$$

Solving (11) for  $W_a$  we find the optimal weight vector as

$$W_a^{opt} = (W_b^H R_{xx} W_b)^{-1} W_b^H R_{xx} W_q \quad (12)$$

An equivalent expression for the optimal weight vector is

$$W_a^{opt} = R_{ff}^{-1} P_{fy_q} \quad (13)$$

(13) can easily be obtained from (12) since  $R_{ff} = W_b^H R_{xx} W_b$  and  $P_{fy_q} = W_b^H R_{xx} W_q$ .

While the quiescent weight vector  $W_q = C(C^H C)^{-1} f$  is the solution for the general deterministic constraint problem, it is not necessarily the best due to large sidelobes close to the main lobe. If a weighting vector such as a Chebyshev pattern is desired [9], the quiescent weights must be modified.

Consider the desired Chebyshev weight vector  $W_{cheb}$ . Clearly  $W_{cheb}$  will not satisfy the constraint

equation (4). A weight vector is required which satisfies the constraints of (4) and is close to the desired vector  $W_{cheb}$ . This is mathematically equivalent to

$$\text{Min}_{\overline{W}_q} \{ (\overline{W}_q - W_{cheb})^H (\overline{W}_q - W_{cheb}) \} \quad (14a)$$

$$\text{Subject to:} \quad C^H \overline{W}_q = f \quad (14b)$$

where  $\overline{W}_q$  is the modified weight vector to be determined. The performance measure J can be formed as

$$J = [\overline{W}_q - W_{cheb}]^H [\overline{W}_q - W_{cheb}] + \lambda(f - C^H \overline{W}_q) \quad (15a)$$

$$= \overline{W}_q^H \overline{W}_q - \overline{W}_q^H W_{cheb} - W_{cheb}^H \overline{W}_q + W_{cheb}^H W_{cheb} + \lambda f - \lambda C^H \overline{W}_q \quad (15b)$$

The gradient of J with respect to  $\overline{W}_q$  is given by

$$\nabla_{\overline{W}_q} J = 0 = 2\overline{W}_q - 2W_{cheb} - (\lambda C^H)^H \quad (16)$$

Solving (16) for  $\overline{W}_q^{opt}$

$$\overline{W}_q^{opt} = W_{cheb} + \frac{1}{2} C \lambda^H \quad (17)$$

Using (4) and (17)

$$\lambda^H = 2(C^H C)^{-1} f - 2(C^H C)^{-1} C^H W_{cheb} \quad (18)$$

Substituting (18) back into (17) gives the optimal modified weight vector

$$\overline{W}_q^{opt} = (I - C(C^H C)^{-1})W_{cheb} + W_q \quad (19)$$

The modification of  $W_q$  alone will not change the overall quiescent pattern until an appropriate change is also made to the constraint matrix. This can be seen by the fact that  $\overline{W}_q$  now contains components in the range space of  $C$  and in the null space of  $C$ . The addition of the component in the null space of  $C$  must be included in the constraint equations so that those components will be effectively canceled by the blocking matrix  $W_b$ . This modification adds one more column to  $C$  so that

$$\overline{C} = [C, W_s] \quad (20)$$

$$\tilde{f}^H = [f^H, \overline{W}_s^H \overline{W}_q] \quad (21)$$

where: 
$$W_s = \overline{W}_q - W_q \quad (22)$$

The new constraint matrix  $\overline{C}$  is now  $N \times M$  and  $\tilde{f}$  is an  $M$  vector where  $M=L+1$ .

The  $N \times (N-M)$  blocking matrix  $W_b$  eliminates the constraint equations so that an unconstrained optimization can take place. The requirements of the  $W_b$  matrix are that:

- 1.) The columns of  $W_b$  are linearly independent
- 2.)  $W_b$  is orthogonal to  $C$

A number of different blocking matrices will be adequate for any given problem. Gram-Schmidt orthogonalization procedures can be used to ensure that the matrices are orthogonal. For simulation purposes, one particular algorithm for determining the  $W_b$  matrix is:

$$\begin{aligned}
a_{i,j} &= 1; & \{i = 1, j; \quad j = 1, N - M\} \\
a_{i,j} &= \text{unknown coefficient}; & \{i = j + 1, j + N - M; \quad j = 1, N - M\} \\
a_{i,j} &= 0; & \text{otherwise.}
\end{aligned}$$

This matrix will structurally take the form

$$W_b = \begin{bmatrix} 1 & 0 & 0 & \dots & 0 \\ a_{1,1} & 1 & 0 & \dots & 0 \\ a_{1,2} & a_{2,1} & 1 & \dots & 0 \\ \cdot & a_{2,2} & a_{3,1} & \dots & 0 \\ \cdot & \cdot & a_{3,2} & \dots & 0 \\ a_{1,M} & \cdot & \cdot & \dots & 0 \\ 0 & a_{2,M} & \cdot & \dots & 1 \\ 0 & 0 & a_{3,M} & \dots & a_{N-M,1} \\ \cdot & 0 & 0 & \dots & a_{N-M,2} \\ \cdot & \cdot & 0 & \dots & \cdot \\ \cdot & \cdot & \cdot & \dots & \cdot \\ 0 & 0 & 0 & \dots & a_{N-M,M} \end{bmatrix} \quad (23)$$

where the  $a_{i,j}$ 's are unknown complex elements to be solved through the linear equation

$$[\bar{C}]^H W_b = 0 \quad (24)$$

### **EXAMPLE**

Consider an 8 element array in which 2 pattern null constraints, 0 db gain at broadside, and a Chebyshev pattern are desired. The total number of constraints is 4. The constraint matrix and response vector will take the form



$$\overline{C}^T = \begin{bmatrix} C_{1,1} & C_{2,1} & C_{3,1} & C_{4,1} & C_{5,1} & C_{6,1} & C_{7,1} & C_{8,1} \\ C_{1,2} & C_{2,2} & C_{3,2} & C_{4,2} & C_{5,2} & C_{6,2} & C_{7,2} & C_{8,2} \\ C_{1,3} & C_{2,3} & C_{3,3} & C_{4,3} & C_{5,3} & C_{6,3} & C_{7,3} & C_{8,3} \\ W_{c1} & W_{c2} & W_{c3} & W_{c4} & W_{c5} & W_{c6} & W_{c7} & W_{c8} \end{bmatrix} \quad (25)$$

$$\bar{f} = \begin{bmatrix} 1 \\ 0 \\ 0 \\ f_4 \end{bmatrix} \quad (26)$$

The  $C_{i,j}$ 's are found from (5) and the  $W_{ci}$ 's represent the weight vectors given in (22). The appropriate blocking matrix will take the form

$$W_b = \begin{bmatrix} 1 & 0 & 0 & 0 \\ a_{1,1} & 1 & 0 & 0 \\ a_{1,2} & a_{2,1} & 1 & 0 \\ a_{1,3} & a_{2,2} & a_{3,1} & 1 \\ a_{1,4} & a_{2,3} & a_{3,2} & a_{4,1} \\ 0 & a_{2,4} & a_{3,3} & a_{4,2} \\ 0 & 0 & a_{3,4} & a_{4,3} \\ 0 & 0 & 0 & a_{4,4} \end{bmatrix} \quad (27)$$

Since  $W_b$  will be orthogonal to  $\overline{C}$ , we can solve (24) and get the resulting matrix equation

$$\begin{bmatrix} C_{1,1} & C_{2,1} & C_{3,1} & C_{4,1} & C_{5,1} & C_{6,1} & C_{7,1} & C_{8,1} \\ C_{1,2} & C_{2,2} & C_{3,2} & C_{4,2} & C_{5,2} & C_{6,2} & C_{7,2} & C_{8,2} \\ C_{1,3} & C_{2,3} & C_{3,3} & C_{4,3} & C_{5,3} & C_{6,3} & C_{7,3} & C_{8,3} \\ W_{c1} & W_{c2} & W_{c3} & W_{c4} & W_{c5} & W_{c6} & W_{c7} & W_{c8} \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & 0 \\ a_{1,1} & 1 & 0 & 0 \\ a_{1,2} & a_{2,1} & 1 & 0 \\ a_{1,3} & a_{2,2} & a_{3,1} & 1 \\ a_{1,4} & a_{2,3} & a_{3,2} & a_{4,1} \\ 0 & a_{2,4} & a_{3,3} & a_{4,2} \\ 0 & 0 & a_{3,4} & a_{4,3} \\ 0 & 0 & 0 & a_{4,4} \end{bmatrix} = \bar{0} \quad (28)$$

Performing the corresponding matrix multiplication and solving for the unknown  $a_{1,i}$  coefficients,

$$\begin{bmatrix} C_{2,1} & C_{3,1} & C_{4,1} & C_{5,1} \\ C_{2,2} & C_{3,2} & C_{4,2} & C_{5,2} \\ C_{2,3} & C_{3,3} & C_{4,3} & C_{5,3} \\ W_{c2} & W_{c3} & W_{c4} & W_{c5} \end{bmatrix} \begin{bmatrix} a_{1,1} \\ a_{2,1} \\ a_{3,1} \\ a_{4,1} \end{bmatrix} = \begin{bmatrix} -C_{1,1} \\ -C_{1,2} \\ -C_{1,3} \\ -W_{c1} \end{bmatrix} \quad (29)$$

Identical operations can be done to solve for the remaining  $a_{i,j}$  coefficients.

### **EFFECTS OF ELEMENT FAILURES ON GSC PATTERNS**

When one or more of the  $N$  array elements fail, the overall pattern deteriorates substantially. Figures 3 illustrates a 32 element array with a Dolph-Chebyshev pattern with pattern nulls constrained at  $12^\circ$ ,  $14^\circ$ ,  $16^\circ$ , and  $18^\circ$  and an actual interference signal at  $22^\circ$  with 20 dB power relative to the desired signal. The sidelobes were specified to be at least 35 dB under the main lobe. Figure 4 demonstrates the same array with a single element failure (element # 3). When several elements fail, the pattern deterioration is catastrophic. The need for weight adaptation in the face of array element failure is very apparent.

### **A REDUCED ORDER GENERALIZED SIDELOBE CANCELLER**

Search techniques are typically used in reconfiguring the elements of an array in which one or more array elements have failed. In general, such techniques can be computationally intensive and are not guaranteed to find the globally optimal solution. A more direct approach to restructuring the array weights is to reduce the order of the active array under the same constraints as the original array. This results in optimal array weights under the given constraints and conditions.

Consider the transformation of the original array into the following system

$$W' = TW \tag{30}$$

where  $T$  is an  $N \times N$  permutation matrix defining the condition of the array system. Ideally, if all elements are active,  $T$  will be equal to  $I_N$ , the  $N \times N$  identity matrix. If a single element fails in the  $k$ th position,  $T$  is defined by

$$T = \begin{bmatrix} 0_{1 \times (k-1)} & | & 1 & | & 0_{1 \times (N-k)} \\ - & - & - & - & - \\ I_{(k-1) \times (k-1)} & | & 0_{(k-1) \times 1} & | & 0_{(k-1) \times (N-k)} \\ - & - & - & - & - \\ 0_{(N-k) \times (k-1)} & | & 0_{(N-k) \times 1} & | & I_{(N-k) \times (N-k)} \end{bmatrix} \quad (31)$$

In general, if  $r$  element failures exist, the matrix  $T$  is formed by moving each of the  $r$  rows corresponding to the failed elements to the top  $r$  rows of the  $T$  permutation matrix.

The transformed quiescent vector, constraint matrix and blocking matrix are given by

$$W'_q = TW_q \quad (32a)$$

$$C' = TC \quad (32b)$$

$$W'_b = TW_b \quad (32c)$$

The transformed system now contains all weights associated with the  $r$  failed elements as the first  $r$  rows in the weight vectors which can be partitioned into subarrays representing the failed system elements and the remaining active elements.

$$W'_q = \begin{bmatrix} W'_{qa} \\ - \\ W'_{qh} \end{bmatrix} \quad (33a)$$

$$W'_b = \begin{bmatrix} W'_{ba} \\ - \\ W'_{bh} \end{bmatrix} \quad (33b)$$

$$C' = \begin{bmatrix} C'_1 \\ - \\ C'_2 \end{bmatrix} \quad (33c)$$

The partitions  $W'_{qa}$ ,  $W'_{ba}$ , and  $C'_1$

in (33) correspond to the failed elements and the remaining partitions relate to the active elements.

The constraint equation (4) can now be written in terms of the transformed system as

$$C'^H W' = f \quad (34)$$

$$[C'_1 | C'_2] \begin{bmatrix} W'_{qa} \\ - \\ W'_{qb} \end{bmatrix} = f \quad (35)$$

or

$$C'_1 W'_{qa} + C'_2 W'_{qb} = f \quad (36)$$

Because the elements associated with the weight set  $W'_{qa}$  are failed, these weights will have no effect on the constraint equation (36) and there is no loss of information if the  $C'_1$  matrix is set to zero. The resulting equation gives

$$C'_2 W'_{qb} = f \quad (37)$$

where the unconstrained estimator solution is given by

$$W'^{opt}_{qb} = C'_2 (C'^H_2 C'_2)^{-1} f \quad (38)$$

If the Chebyshev weight constraints are included in the desired solutions the  $W'_q$  vector must be modified as before to minimize the distance from the desired Chebyshev weight vector. The performance minimization is given by

$$\text{Min}_{W'} \left\{ \left( \begin{bmatrix} W'_{qa} \\ - \\ W'_{qb} \end{bmatrix} - W'_{cheb} \right)^H \left( \begin{bmatrix} W'_{qa} \\ - \\ W'_{qb} \end{bmatrix} - W'_{cheb} \right) \right\} \quad (39a)$$

subject to:

$$C'_2 W'_{qb} = f \quad (39b)$$

where

$$W'_{cheb} = \begin{bmatrix} W'_{ca} \\ - \\ W'_{cb} \end{bmatrix} = T W_{cheb} \quad (40)$$

The expanded performance equation is equivalent to

$$\text{Min}\{(W'_{qa_1} - W'_{ca_1})^2 + (W'_{qa_2} - W'_{ca_2})^2 + \dots + (W'_{qa_r} - W'_{ca_r})^2 + \\ (W'_{qb_1} - W'_{cb_1})^2 + \dots + (W'_{qb_{N-r}} - W'_{cb_{N-r}})^2\} \quad (41)$$

From the above equation, the minimization clearly takes place when the elements of  $W'_{qa} = W'_{ca}$  where  $W'_{ca}$  are the transformed Chebyshev weights corresponding to the failed elements. The remainder of the minimization is reduced in order to a N-r order minimization where the optimal quiescent solution for  $W'_{qb}$  is identical to (14)-(19) and is given by

$$\bar{W}'_{qb} = (I - C'_2 (C'^H_2 C'_2)^{-1} C'^H_2) W'_{cb} + W'_{qb} \quad (42)$$

The constraint equations can be augmented to reflect the additional Chebyshev constraint as in (20) and (21). In this case the partitioned constraint matrix will be

$$\bar{C}'_1 = \begin{bmatrix} 0_{M \times r} \\ - \\ W'_{sa} \end{bmatrix} \quad (43a)$$

$$\bar{C}'_2 = \begin{bmatrix} C'_2 \\ - \\ W'_{sb} \end{bmatrix} \quad (43b)$$

where

$$W'_s = \begin{bmatrix} W'_{sa} \\ - \\ W'_{sb} \end{bmatrix} = TW_s \quad (44)$$

The reduction of the active weights will also reduce the required dimensions of the blocking matrix  $W_b$ .

Applying condition 2 of the blocking matrix on the transformed system results in

$$[C'_1 | C'_2] \begin{bmatrix} W'_{sa} \\ - \\ W'_{sb} \end{bmatrix} = C'_1 W'_{sa} + C'_2 W'_{sb} = \bar{0} \quad (45)$$

Since  $C'_2 = \bar{0}$ , the blocking condition reduces to

$$C'_1 W'_{sa} = \bar{0} \quad (46)$$

The reduced blocking matrix can take the form of (23) with reduced dimensions of  $(N-r) \times (N-r-M)$ . When the Chebyshev constraints are included as a desired constraint, the augment constraint matrix  $\bar{C}'$  is used in (45). In this case,  $\bar{C}'_1 \neq 0$  because of the augmentation, however, since the submatrix  $W'_{sa}$  is associated with the failed elements, the coefficients of  $W'_{sa}$  can be set to zero reducing the equation to (46).

The structure of the  $W'_{bb}$  submatrix ensures that the total blocking matrix  $W'_b$  is linearly independent thus satisfying blocking matrix condition 1.

Because of the reduction in the size of the blocking matrix, the adaptive weights  $W_a$  are reduced in number from  $N-M$  to  $N-M-r$ . The minimization of the weight distance in the two paths of the GSC structure determines the adaptive weights. From equation (9) we have

$$\text{Min}_{W_a} \left\{ \left( \begin{bmatrix} W'_{aa} \\ W'_{ab} \end{bmatrix} - \begin{bmatrix} W'_{aa} \\ W'_{ab} \end{bmatrix} W_a \right) R'_{xx} \left( \begin{bmatrix} W'_{aa} \\ W'_{ab} \end{bmatrix} - \begin{bmatrix} W'_{aa} \\ W'_{ab} \end{bmatrix} W_a \right)^H \right\} \quad (47)$$

where

$$R'_{xx} = T^H R_{xx} T \quad (48)$$

The upper partition of the minimization can be removed because  $W'_{aa} = \bar{0}$ . The lower partition determines the optimal weight values  $W_a$ . The optimal weights are given by

$$W_a^{opt} = W'_{bb} (W'^H_{bb} R_{xx} W'_{bb})^{-1} W'^H_{bb} R'_{xx} W'_{bb} \quad (49)$$

The original system can be recovered through the inverse transformation

$$W_q = T^{-1} \hat{W}_q \quad (50a)$$

$$W_b = T^{-1} \hat{W}_b \quad (50b)$$

## SIMULATION ISSUES

The original GSC algorithm was programmed on a microVAX 3600 in FORTRAN [10]. Appropriate modifications have been made to accommodate the reduced order algorithm. The initial condition inputs include the total number of elements, the number of failed elements and position of the failed elements in the array, signal to noise level, desired pattern nulls, interference



signal strength and directions, and desired mainlobe to sidelobe ratio for a Dolph-Chebyshev array pattern. Pattern nulls represent anticipated directions of interference signals. The anticipated nulls are programmed into the initial quiescent array. The actual jamming signal will modify the signal statistics and will be compensated for in the adaptive weights, producing a null in the direction of the actual interference. The noise variance  $\sigma_n^2$  was set equal to 1.0 for reference.

Element failure effects are modeled by modifying the correlation matrices for the interference and desired signals, and adjusting the input at the array element as a signal is swept between  $-90^\circ$  and  $90^\circ$ . The correlation matrices are modified by setting the  $j$ th row and column to 0 (except the diagonal component equals  $\sigma_j^2$ ) for a element failure in the  $j$ th position. This assumes the noise in the failed element will be uncorrelated with any other element signal.

The input to a failed element is simulated as 0. Although several cases are possible for a failed element (i.e. signal grounded, +5Vdc, etc.) it is assumed that the known failed element can always be forced to ground state. The only signal processed through the failed element will be Gaussian noise with a variance of 1.0.

Figure 3 represents a 32 element array pattern with SNR=0.0, four desired pattern nulls at  $12^\circ$ ,  $14^\circ$ ,  $16^\circ$ , and  $18^\circ$ , a 35 dB Dolph-Chebyshev pattern, and a single interference signal located at  $22^\circ$  with a 20dB gain relative to the desired signal. Figure 4 shows the same example after element # 3 has failed. The pattern effectiveness has been reduced significantly with a single failure. Figure 5 shows the pattern obtained from the reduced order GSC algorithm.

If multiple element failures occur, the desired pattern is distorted accordingly. Figure 6 and figure 7 display the failure pattern and compensated pattern respectively for the previous

example with elements 2,5,15,17, and 30 failed. The pattern corresponding to the failed array is unrecognizable whereas that of the compensated array matches all the desired constraints at the cost of the pattern floor raising.

If the event that array end elements fail, the array can be compensated for with the element failure algorithm, or as an alternative, the array can be reconfigured as a smaller array. Figure 8 demonstrates the above example with elements 1-6 failed in the 32 element array. Figure 9 shows the same desired pattern with an unfailed 26 element array. Both configurations meet the desired pattern null and adaptive null constraints. The reconfigured 26 element array matches the Dolph-Chebyshev pattern better than the failure compensated array. The 3dB main beam width is slightly smaller in the 32 element array even though 6 elements are failed.

Figures 10-16 demonstrate a 64 element array example. A 45 dB Dolph-Chebyshev pattern is desired with an anticipated signal at  $8^\circ$  and 2 actual jamming signals appear at  $12^\circ$  and  $19^\circ$  with 40 dB gain. The SNR is 0. Figures 10-12 represent a full array, failed uncompensated array, and failed array using the reduced GSC respectively. In this example, 15 simultaneous element failures were simulated (elements 29-43). The failed uncompensated array does not match the desired constraints of 0 dB gain at broadside and pattern null at  $8^\circ$ . The compensated array matches these constraints at the expense of slightly higher sidelobes. Figures 13 and 14 show the quiescent weight vector magnitude for the full array and fail compensated array respectively. The reconfigured weights tend to reflect the same Chebyshev pattern as the original weights with a slightly higher gain to compensate for the gain lost due to the failed elements. The failed element gains are set to the original weight vector gains. These values, although meaningless because of the failed elements, are necessary for the other weights to adjust accordingly. Figures 15 and 16 show the quiescent weight phase for the full array and compensated array. These figures reflect the increase in phase variations needed to match the desired constraints.

## **COMPUTATIONAL REQUIREMENTS FOR THE FAILURE COMPENSATED GSC**

Upon determination of a failed element or elements, the GSC routine must be modified to compensate for the failure. The major recalculations include updating the blocking matrix  $W_b$ , and the two weight vectors  $W_q$  and  $W_a$ . These calculations may be done either on-line, pre-calculated off-line and stored in memory, or some combination of these.

### **Off-line Calculation and Storage**

Off-line pre-computation and storage requires that every possible combination of failed elements be determined and weight vectors and blocking matrix calculated for each scenario. The possible number of combinations of failed elements, assuming parameters such as pattern nulls and desired pattern sidelobe strength are constant, become massively large. As an example, if  $N=32$ , there are approximately 1.28 billion total combinations of failures of 1 element to 14 elements. If 32 weights are stored, each weight requiring 4 bytes, the total memory required is 164 GBytes. These figures do not include memory required to store the blocking matrix coefficients. From these results, it is obvious that 100% data storage is not possible given the current storage devices available.

### **On-line Computation**

The recalculation of the GSC weights consists of determining the correct transformation matrix,  $T$ , recomputing the blocking matrix elements, and calculating the weight vectors  $W_q$  and  $W_a$ . Recalculation of the blocking matrix coefficients requires that  $(N-M-r)$  matrix inverses of size  $M \times M$  be calculated. If  $M$  is large, these matrix inverses may take considerable time, depending on the hardware used for the GSC algorithm. The weight vector  $W_a$  requires a single  $(N-M-r) \times (N-M-r)$  matrix inverse and five  $(N-M-r) \times (N-M-r)$  matrix multiplications using the direct solution method (i.e.  $R_{xx}$  known a priori). The quiescent weight vector calculation requires one  $(N-r) \times (N-r)$  matrix inverse. The advantage to the reduced order GSC is that the total number of computations required to determine new weights when elements fail decreases with increasing

numbers of element failures. Table 1 shows various calculation times on a microVAX 3600 for computing  $T$ ,  $W_b$ ,  $W_q$ , and  $W_a$  under several conditions of element failures for a system with 6 total desired constraints.

<u>Elements</u>	<u>Failures</u>	<u>Time (s)</u>
96	0	137.85
96	48	90.89
64	0	43.4
64	32	26.5
32	0	7.26
32	16	4.98
16	0	2.01

*Table 1.*

### FUTURE RESEARCH DIRECTIONS

Extended research on the modified GSC and element failures can be applied in several directions.

Some of the more important questions to be answered include:

1. Using the direct form of calculation for computing  $W_{opt} = R_{xx}^{-1}r_{xd}$  involves an  $N \times N$  matrix inversion. If high sampling rates are required, this is computationally very difficult. Also, assuming stationarity,  $N(N+3)/2$  correlation measurements may be required before  $R_{xx}$  and  $r_{xd}$  are statistically accurate, whereas, in real practice, the statistical environment is usually changing. An adaptive algorithm must be incorporated to estimate the statistical environment more efficiently with fewer calculations.

2. Element failure can show up in various forms, including partial element failure (degradation of element gain). The algorithm for failure correction may be expanded to include

modification for partial element failure.

3. A method of on-line modification of the GSC for element failure must be found to gracefully modify the predefined constraint matrix and blocking matrix structures so adaptation time is minimized. Methods of augmenting a small off-line database with minimal on-line calculations must be studied to truly optimize the total reconfiguration time.

4. Parallel processing structures must be studied to determine the optimal computational distribution so the GSC and corresponding failure routines can be performed on-line in a real-time environment.

5. Array output sensitivity to the weight vector must be studied for large numbers of element failures. The off-line storage requirements may be reduced considerably if the output is robust with respect to weight values when large numbers of element failures are present.

6. Multi-array modes should be studied as an option for restructuring the array in the event of element failure. For example, in special cases, the array may be divided into equally spaced sub-arrays where the GSC is applied and the total array output is weighted sums of the sub-array outputs.

7. Methods for determining on-line element characterization must be found so that failed elements can be identified. For example, a near-field test could be conducted periodically which correlates the array output with a pre-stored pattern to determine if failed or deteriorated elements exist.

8. The comparison of the original weight vectors with the reconfigured weight vectors after element failures suggest that predictions may be made to the relative importance of array elements in the overall pattern robustness. In certain cases, total weight reconfiguration may not be necessary.

## **CONCLUSIONS**

This paper demonstrates a technique for adapting to random element failures in a linear narrow band array. A reduced order Generalized Sidelobe Canceller algorithm was shown to

include constraints associated with the failed elements. Simulation results show an improvement in main beam isolation when using the corrector algorithm of greater than 30dB over that of an uncorrected array with a single element failure. An added feature of the reduced order algorithm is that the computational requirements for recomputing array weights reduces as the number of element failures increase. Multiple element failure examples show the reduced order algorithm matches the linear constraint conditions and the general shape of the desired beam pattern at the expense of a decrease in the overall mainlobe to sidelobe isolation.

### 32 Element Array

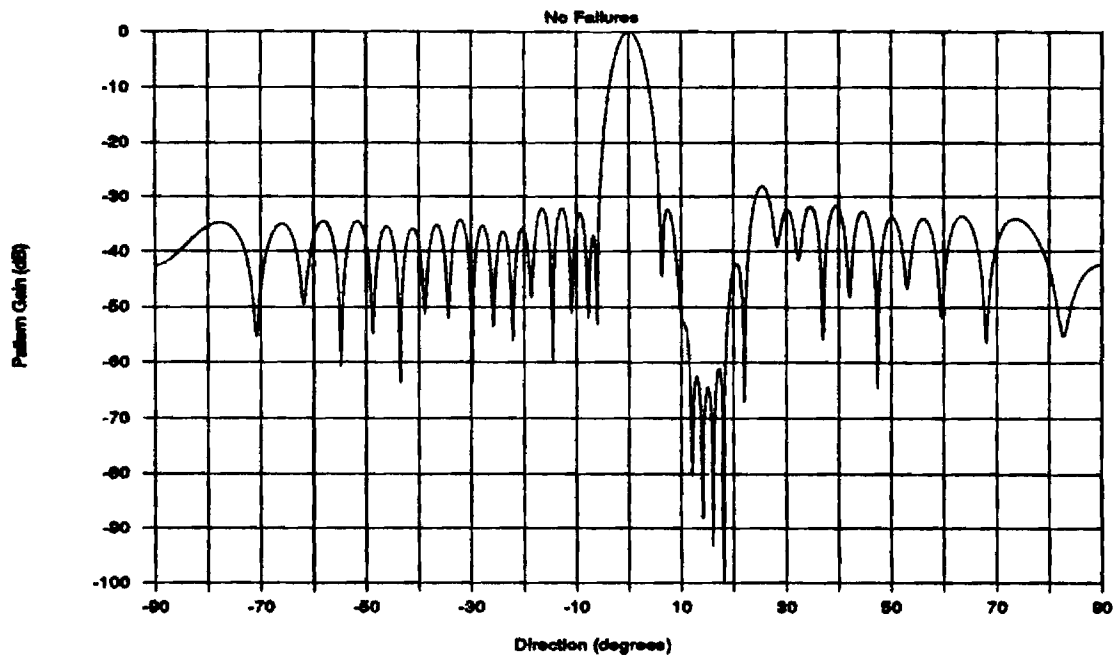


Figure 3.

### 32 Element Array

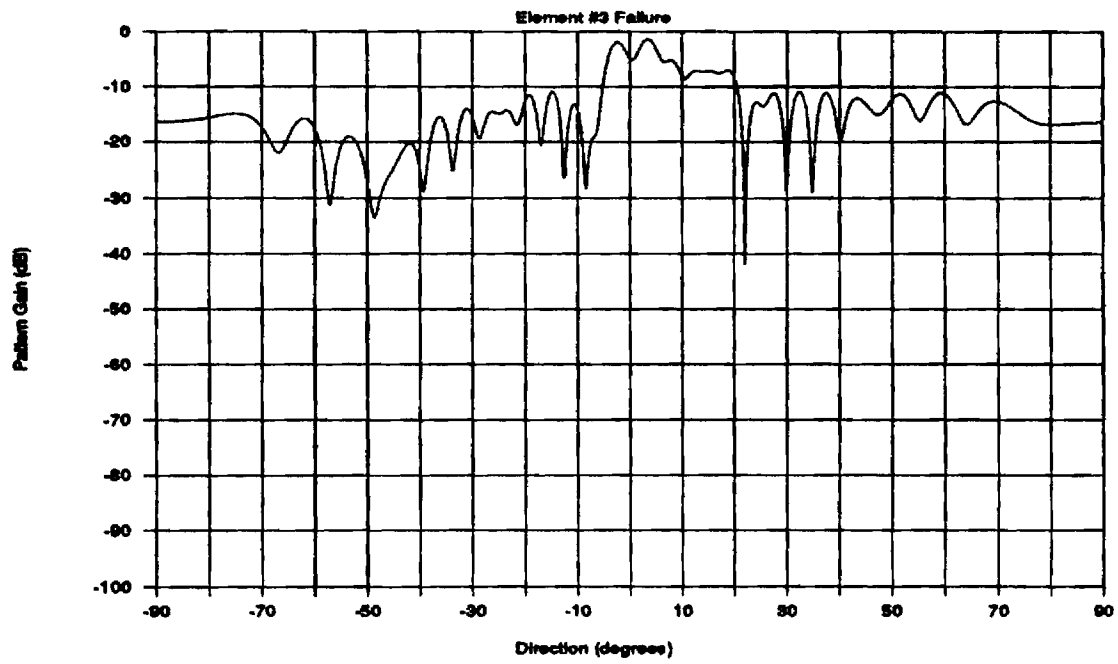


Figure 4.

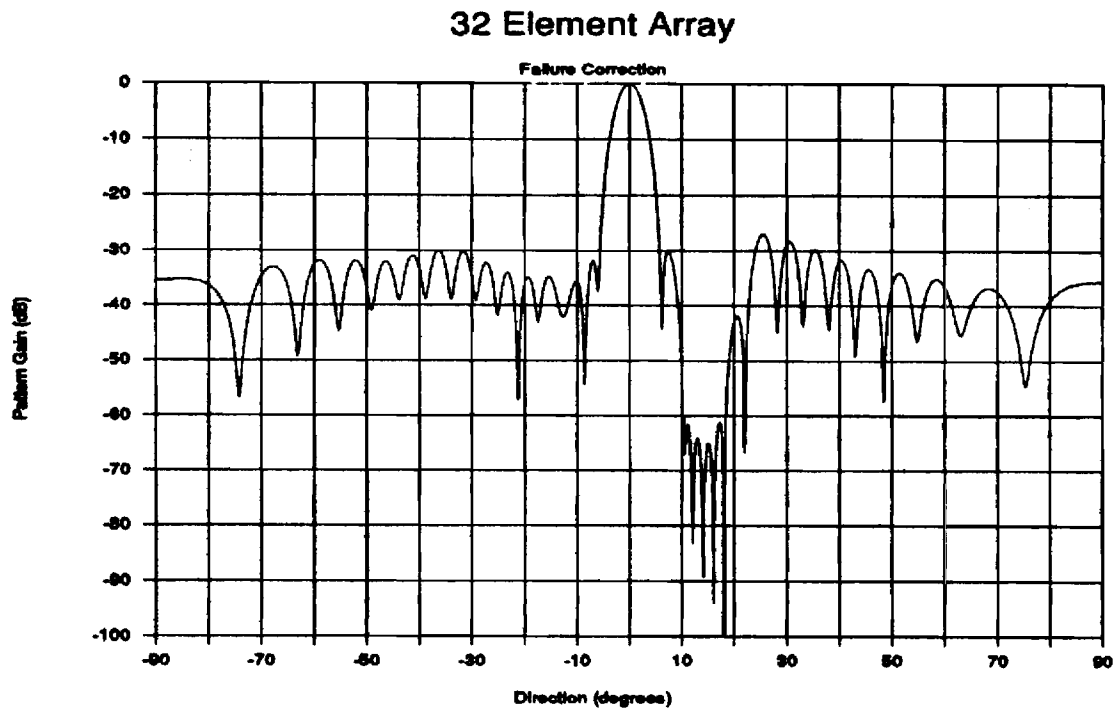


Figure 5.

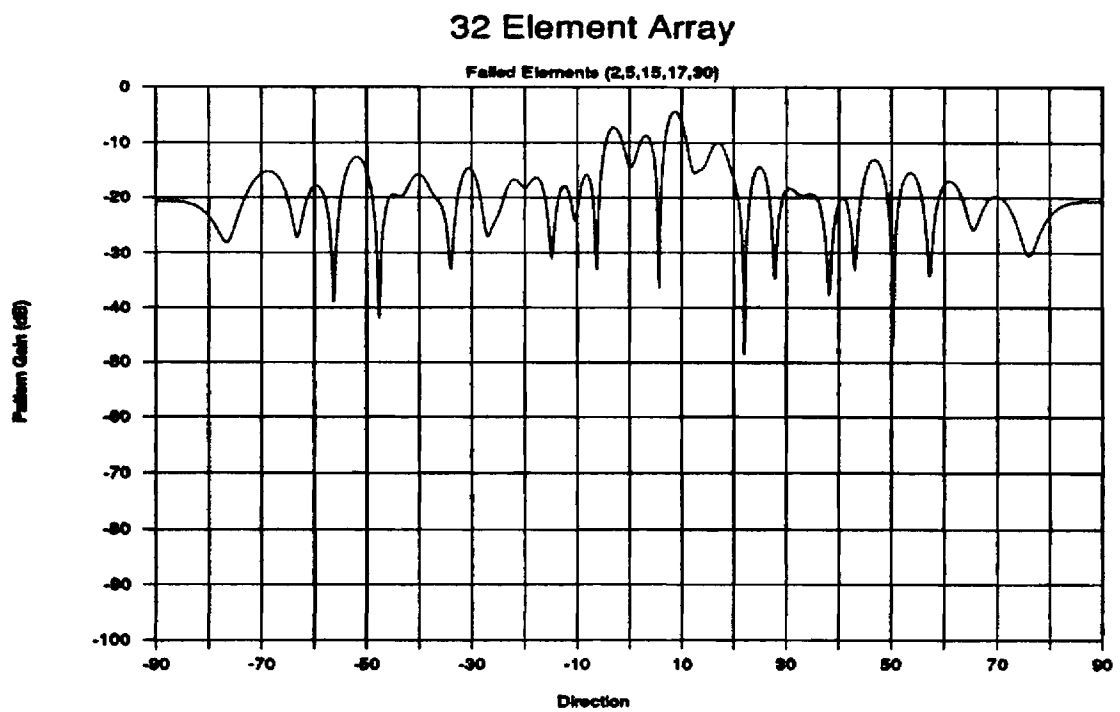


Figure 6.



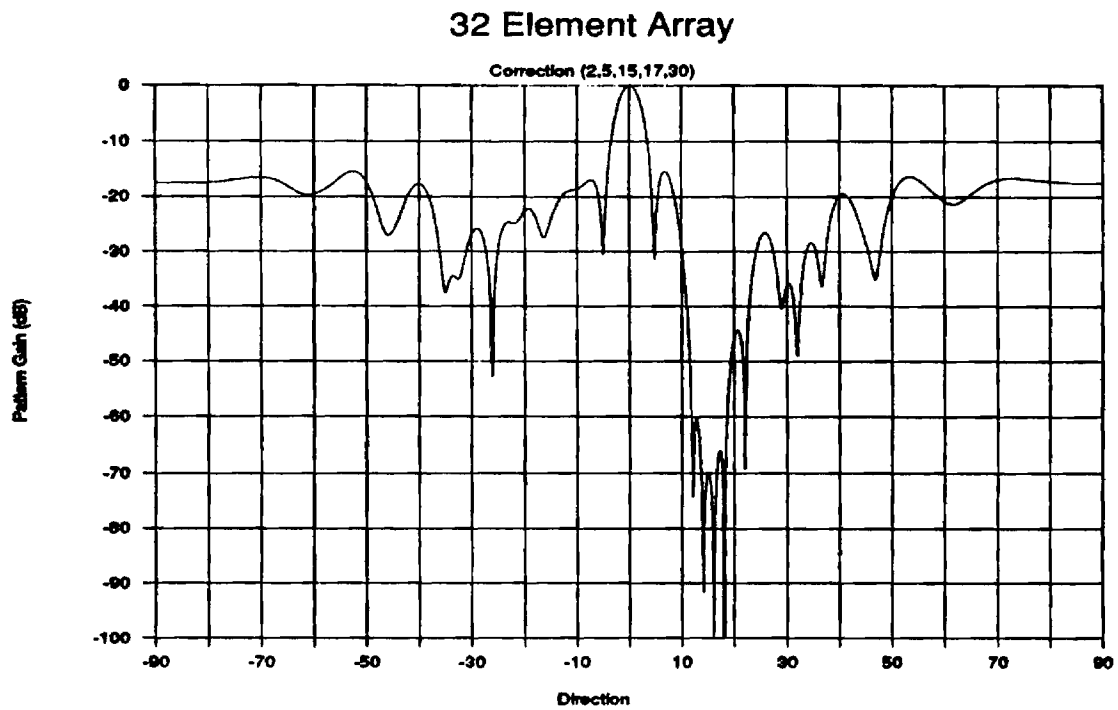


Figure 7.

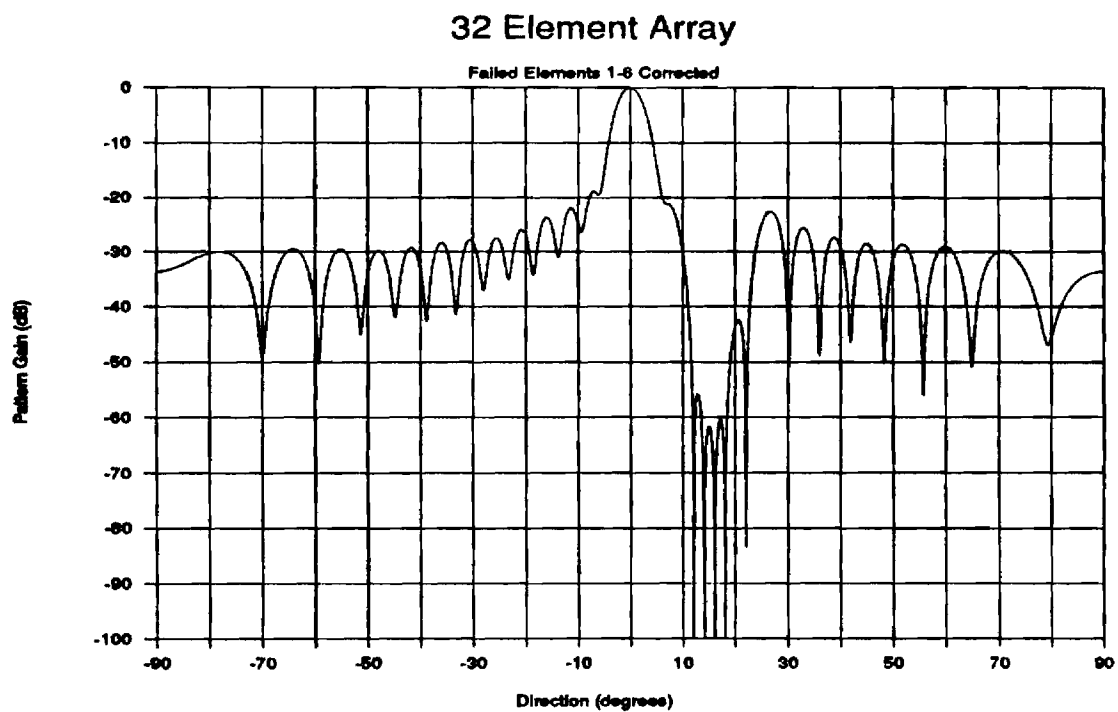


Figure 8.

## 26 Element Array

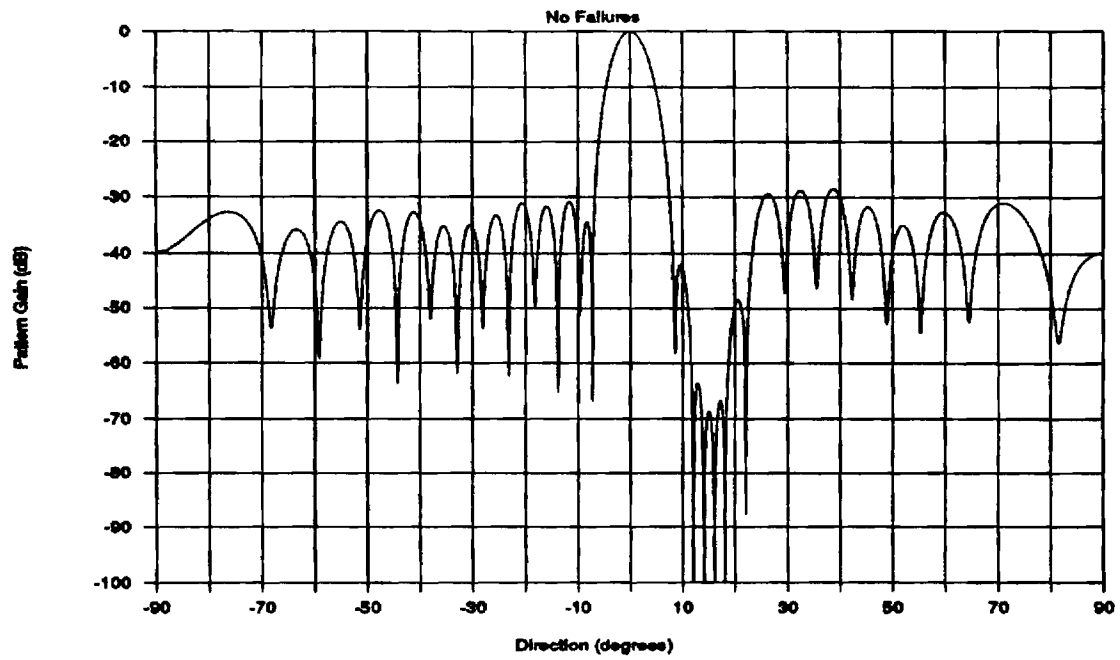


Figure 9.

## 64 Element Array

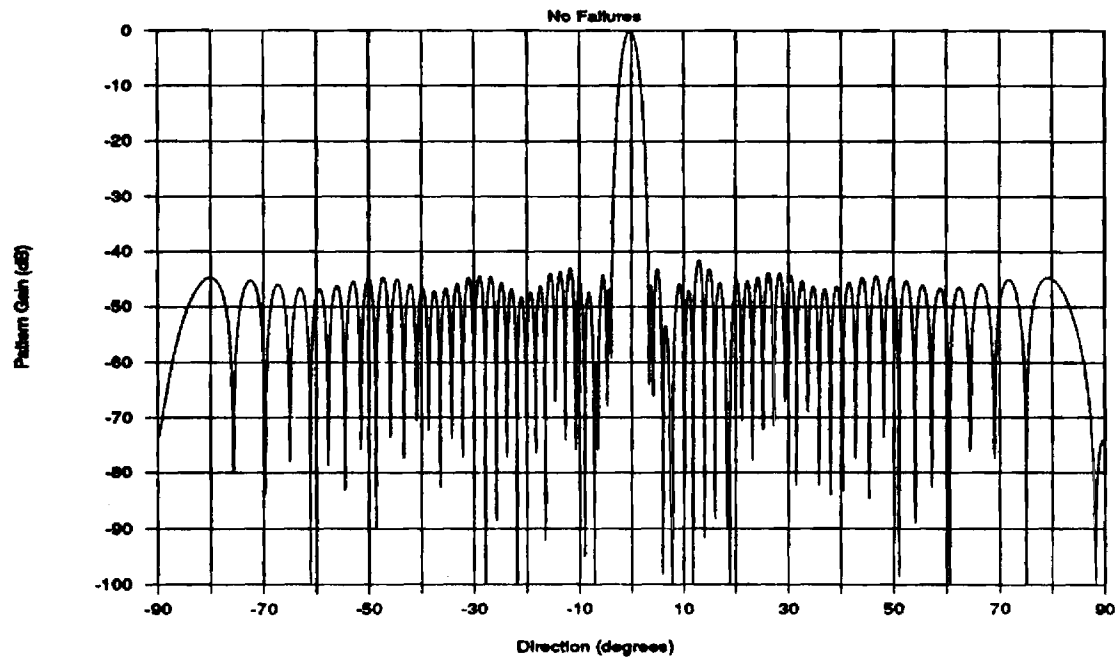


Figure 10.

### 64 Element Array

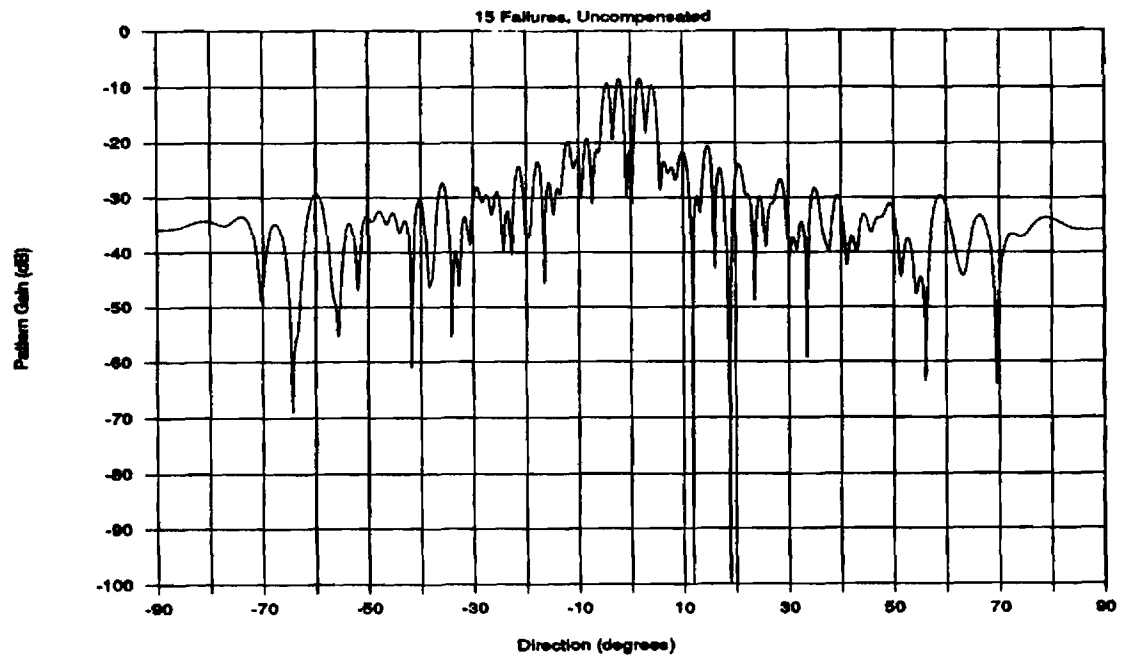


Figure 11.

### 64 Element Array

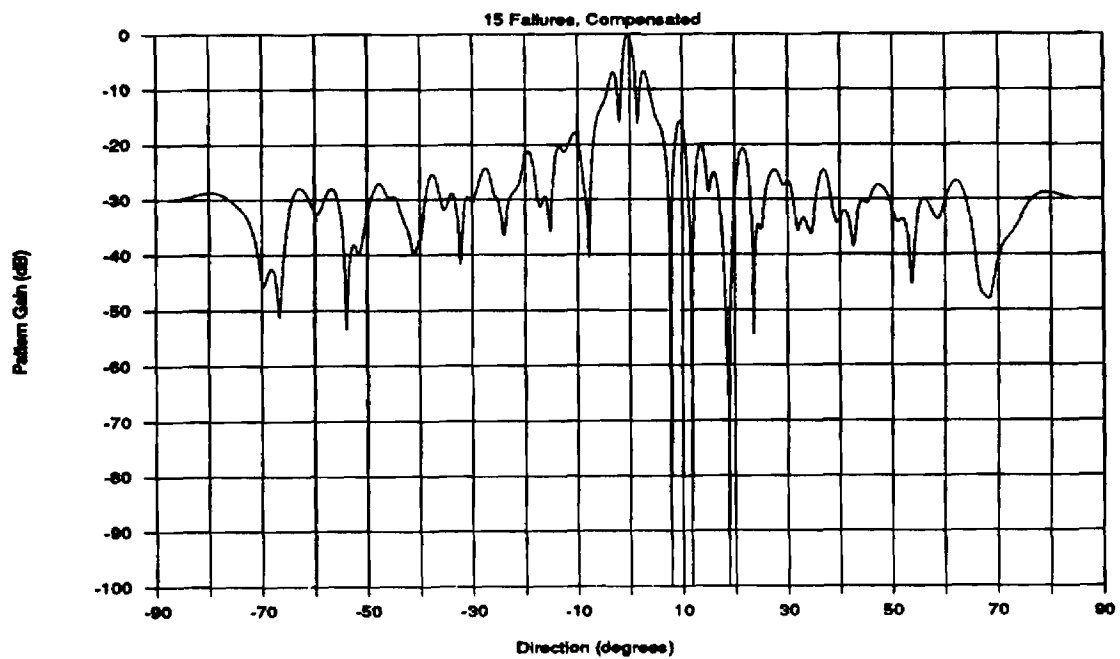


Figure 12.

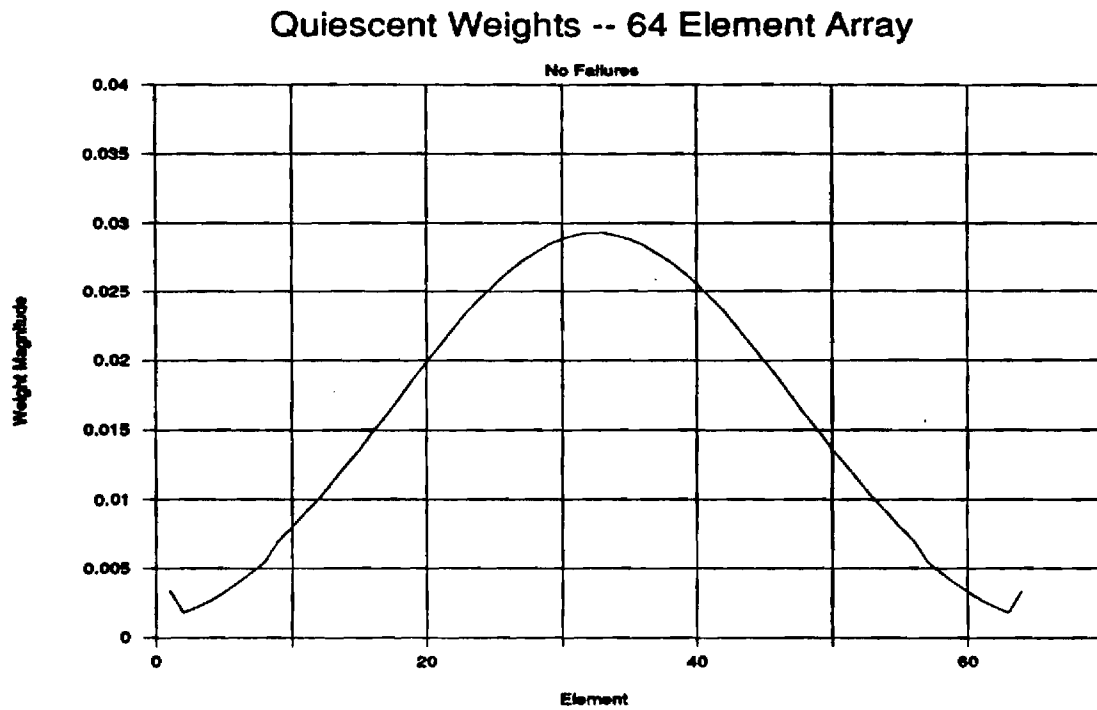


Figure 13.

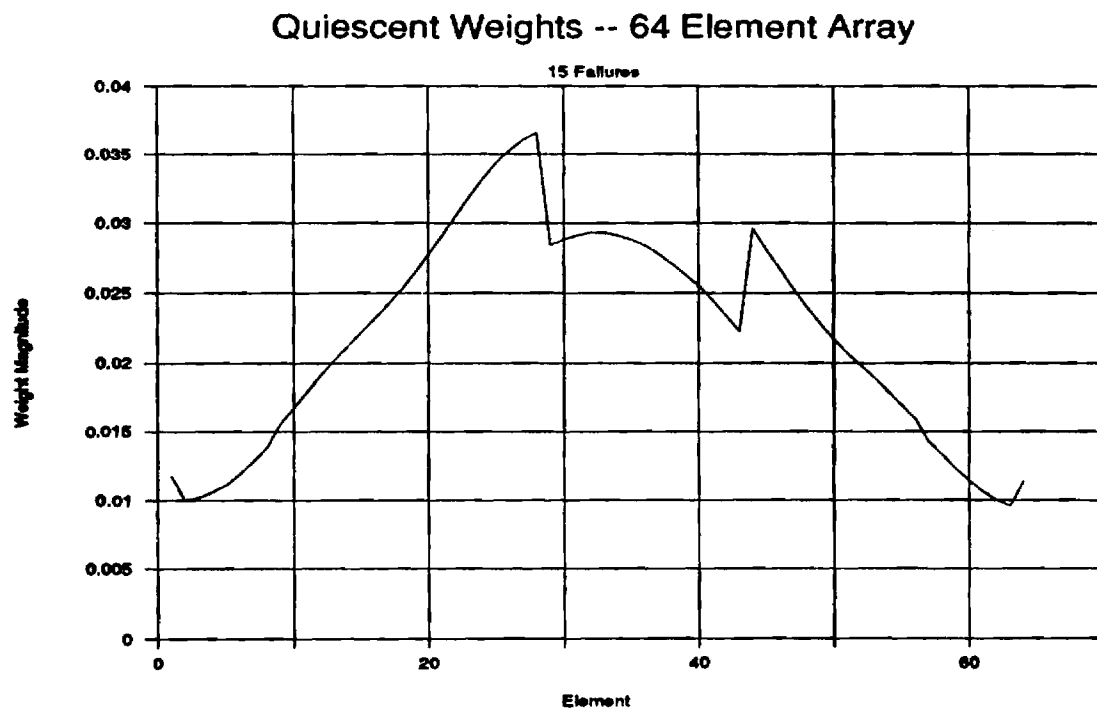


Figure 14.

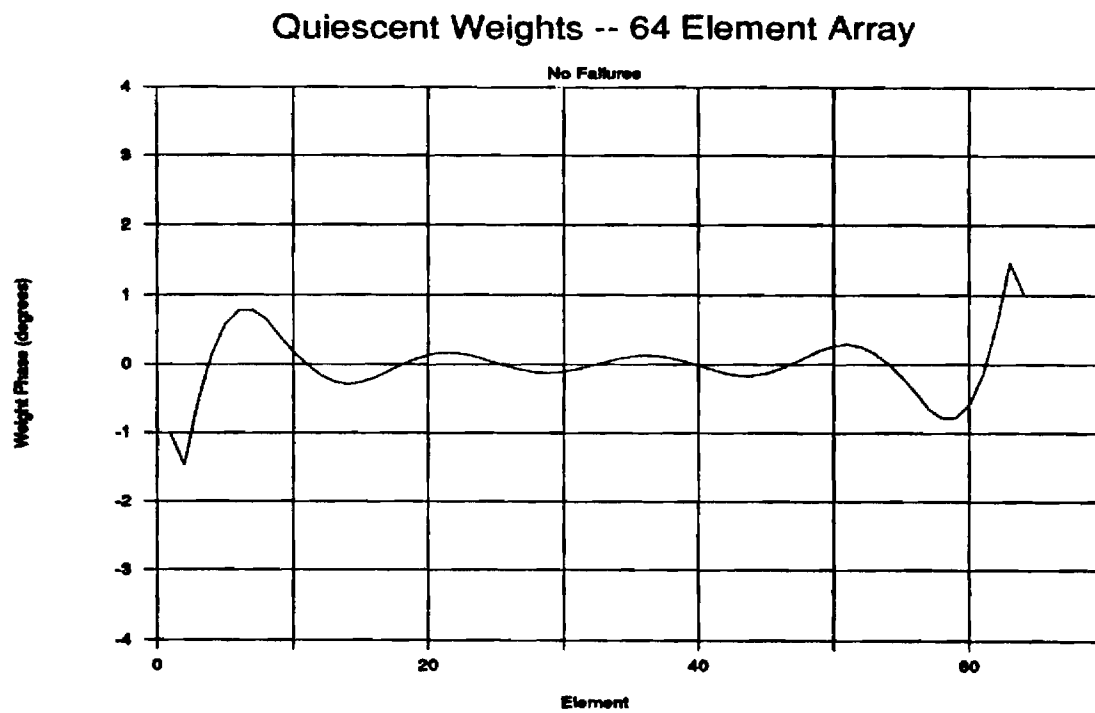


Figure 15.

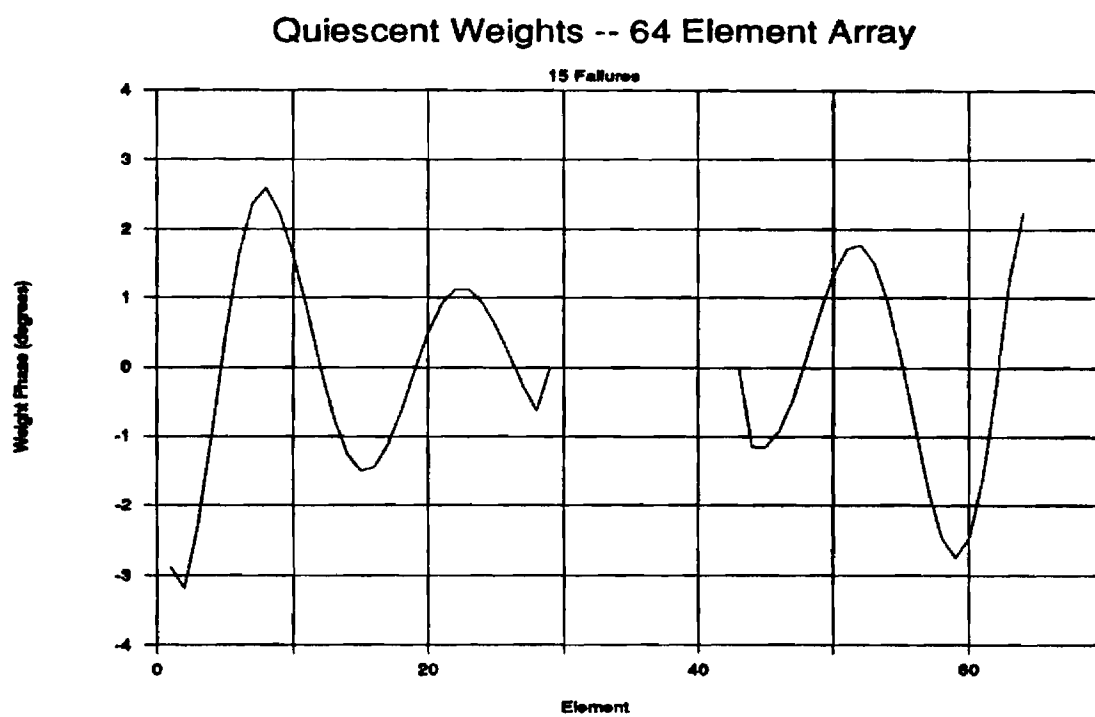


Figure 16.

## References

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## **APPENDIX**

### **NOTATION**

$W_q$	Quiescent Weight Vector
$W'_q$	Transformed quiescent weight vector
$\overline{W}_q$	Quiescent Weight Vector modified with Chebyshev constraint
$W_b$	Blocking Matrix
$W'_b$	Transformed Blocking Matrix
$W'_{ba}$	Failed partition of transformed blocking matrix
$W'_{bb}$	Remaining partition of transformed blocking matrix
$W_a$	Adaptive Weight Vector
$W'_a$	Transformed adaptive weight vector
$W'_{qa}$	Failed partition of quiescent weight vector
$W'_{qb}$	Remaining partition of quiescent weight vector
$W_{cheb}$	Chebyshev weight vector
$C$	Constraint Matrix
$\overline{C}$	Augmented Constraint Matrix (includes Chebyshev constraint)
$C'_1$	Failed partition of transformed constraint matrix
$C'_2$	Remaining partition of transformed constraint matrix
$C'$	Transformed constraint matrix
$L$	Number of gain constraints
$M$	Number of total constraints
$r$	Number of failed elements
$T$	Failure Transformation Matrix
$R_{xx}$	Element Correlation Matrix
$R'_{xx}$	Element Correlation Matrix for Active Elements



## **APPENDIX**

### **Fortran Code Program Listing**

```

*****
*
* THIS PROGRAM FORMS AN ADAPTIVE ARRAY PATTERN USING THE GENERALIZED
* SIDELobe CANCELLER (GSC). THE FOLLOWING ARRAY DATA IS INPUT:
*
* NEL NUMBER OF ELEMENTS,
* DIS = ELEMENT SPACING IN TERMS OF WAVELENGTH,
* RATIO = MAINLOBE-TO-SIDELobe RATIO (USED TO FORM THE CHEBYSHEV WEIGHTS),
* NANUL = NUMBER OF ANTICIPATORY NULLS,
* ANUL(I) = ANTICIPATORY NULL LOCATIONS.
*
*
* SUBROUTINE QUIESCENT.FOR IS USED TO FORM MATRUX WS AND
* VECTOR WQ. THE FOLLOWING ENVIROMENT INFORMATION IS INPUT:
*
* SNR = SIGNAL-TO-NOIES RATIO,
* NAJ = NUMBER OF INTERFERENCE SIGNALS,
* THETA(I) = LOCATION OF INTERFERENCE SIGNALS,
* JNR(I) = INTERFERENCE SIGNAL STRENGTH.
*
* THE FOLLOWING IS OUTPUT IN DATA FILE PATTERN.DAT:
* THE QUIESCENT GAIN VERSUS LOOK ANGLE (DEGREES).
* THE ADAPTIVE GAIN VERSUS LOOK ANGLE (DEGREES).
*
* THE FOLLOWING IS OUTPUT TO WEIGHTS:
* "NDIM";
* "MDIM";
* "WQH" - Vector OF DIMENSION 1 X NDIM,
* WHERE WQH IS THE HERMATIAN OF WQ;
* "WSH" - Matrix WITH DIMENSION MDIM x NDIM,
* WHERE WSH IS THE HERMATIAN OF WS; AND
* "WAH" - Vector OF DIMENSION 1 X NDIM, W
* HERE WAH IS THE HERMATIAN OF WA.
*
* Modified to do failure simulations --- dev 8-7-89
*
*****
IMPLICIT REAL*8 (A-H,O-Z)
REAL*8 JNR
Real T1,delta
COMPLEX*16 WQH, WSH, WS, R, P, TEM, WQ, WA, DET, RX, CSIMUL, T
COMPLEX*16 RXT,RXA,RXB,RXC,RXD,TINV,WQT,WST,WQTA,WQTB
COMPLEX*16 WQTBH,WSTB,WSTBH,WSTA
INTEGER NEL, NANUL, MCON, fel(100),nfel
character fail
DIMENSION ANUL(20),WSH(100,100),WS(100,100), WQH(100), R(100,100),
1 P(100), WA(100), RX(100,100), TEM(100,100), WQ(100),
1 APJ(10), THETA(10), JNR(10), T(100,100),TINV(100,100),
1 RXT(100,100),RXA(100,100),RXB(100,100),RXC(100,100),
1 RXD(100,100),WST(100,100),WQT(100),WQTB(100),WQTBH(100),
1 WSTB(100,100),WSTBH(100,100),WQTA(100),WSTA(100,100),
1 WQM(100),WQP(100),WAM(100),WAP(100)
COMMON NEL,RATIO,DIS,NANUL,ANUL,MCON,nfel
OPEN(17, FILE = 'WEIGHTS.DAT', STATUS = 'NEW')
open(18, file = 'STATS.DAT',status='new')
open(19, file = 'WA.DAT', STATUS = 'NEW')
DATA PI / 3.141592654 /

```

C--INPUT THE ARRAY DATA, I.E., QUIECSENT INFORMATION:

```

print*, 'Is this failure simulation?'
read(*,340) fail
WRITE(*,210)
READ*, NEL
if(fail.eq.'y') then
    print*, 'Number of Failed Elements'

```

```

        read*,nfel
        do 15, i=1,nfel
            print*, 'Failed Element #'
            read*,fel(i)
15      continue
        else
            fel(1)=-1
        endif
        WRITE(*,220)
        WRITE(*,230)
        READ*,DIS
        WRITE(*,235)
        WRITE(*,237)
        READ*,RATIO
        WRITE(*,240)
        READ*,NANUL
        IF (NANUL .EQ. 0) GOTO 10
        WRITE(*,250)
        WRITE(*,260)
        READ*, (ANUL(I), I=1,NANUL)
C  Start a Timer to determine caculation time
        T1=secnds(0.0)

C  DETERMINE THE TRANSFORMATION MATRIX T
        DO 5, I=1,nfel
            DO 4, J=1,NEL
                T(I,J)=(0.0,0.0)
                IF (J.EQ.fel(I)) T(I,J)=(1.0,0.0)
4          CONTINUE
5          CONTINUE
            DO 7, I=1,NEL-nfel
                J=I
                DO 6, K=1,nfel
                    IF (I+JO.EQ.fel(K)) JO=JO+1
6          CONTINUE
                DO 8, K=1,NEL
                    IF (K.EQ.J+JO) THEN
                        T(I+nfel,K)=(1.0,0.0)
                    ELSE
                        T(I+nfel,K)=(0.0,0.0)
                    ENDIF
8          CONTINUE
7          CONTINUE

C***** DETERMINE THE INVERSE TRANSFORM TINV *****
        DO 11, I=1,NEL
            DO 11, J=1,NEL
                TINV(I,J)=T(J,I)
11      CONTINUE

CXXXXXXXXXX T Matrix print statements XXXXXXXXXXXXXXXXXXXX
c          DO 9, I=1,NEL
c          WRITE(*,3) (T(I,J), J=1,NEL)
c9         CONTINUE
CXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX

C  SEND THE ARRAY INFORMATION TO THE QUIESCENT WEIGHT SUBROUTINE (CONTR.FOR).
C  CONTR.FOR RETURNS WITH THE VECTOR WQT AND THE MATRIX WST, THE TRANSPOSED
C  SYSTEM BLOCKING MATRIX AND QUIESCIENT WEIGHTS.

10      CALL QUIESCENT(T, WST, WQT)
        DELTA=SECNDS(T1)
        print*,delta

C***** SPLIT WST AND WQT INTO WST(A&B), AND WQT(A&B) *****
        DO 13, I=1,nfel

```

```

        WQTA(I)=WQT(I)
        DO 14, J=1,NEL-MCON-nfel
            WSTA(I,J)=WST(I,J)
14      CONTINUE
13      CONTINUE

        DO 17, I=nfel+1,NEL
            WQTB(I-nfel)=WQT(I)
        DO 16, J=1,NEL-MCON-nfel
            WSTB(I-nfel,J)=WST(I,J)
16      CONTINUE
17      CONTINUE

```

C INPUT THE SIGNAL ENVIROMENT:

```

WRITE(*,270)
READ*,SNR
WRITE(*,280)
READ*,NAJ
IF (NAJ.EQ. 0) GOTO 60
WRITE(*,290)
WRITE(*,300)
READ*, (THETA(I), I=1,NAJ)
WRITE(*,310)
WRITE(*,320)
READ*, (JNR(I), I=1,NAJ)
VARDS = (10.0**(SNR/20.0))**2.0

```

C CONVERT INTERFERENCE SIGNAL INFORMATION TO RADIANs AND RATIO AMPLITUDE:

```

print*, 'convert to radians and ratio'
DO 20 I=1,NAJ
    THETA(I) = THETA(I)*PI*2.0/360.0
20    APJ(I) = 10.0**(JNR(I)/20.0)

```

C INPUT THE INTERFERENCE INTO THE COVARIANCE MATRIX RX:

```

print*, 'Input into covariance matrix rx'
write(18,*) 'Rii'
DR = 2.0*PI*DIS
DO 51 I=1,NEL
    DO 50 J=1,NEL
        IF (I.EQ. J) THEN
            X = 0.0
            DO 30 K=1,NAJ
30              X = X + APJ(K)**2.0
            RX(I,J) = DCMLPX(X,0.0D0)
        ELSE
            X = 0.0D0
            Y = 0.0D0
            DO 40 K=1,NAJ
                PHI = DR*SIN(THETA(K))
                X = X + APJ(K)**2.0*COS((J-I)*PHI)
40              Y = Y + APJ(K)**2.0*SIN((J-I)*PHI)
            RX(I,J) = dCMLPX(X,Y)
        ENDIF
50    CONTINUE

```

```

        write(18,330) (dreal(rx(i,j1)),dimag(rx(i,j1)),j1=1,nel)
51    continue

```

C ADD THE DESIRED SIGNAL AND WHITE GAUSSIAN NOISE TO THE COVARIANCE MATRIX RX:

```

print*, 'add desired signal and noise'
write(18,*) 'Rxx=Rss+Rdd+Rii'
60    DO 71 I=1,NEL
        DO 70 J=1,NEL
            IF (I.EQ. J) THEN
                RX(I,J) = RX(I,J) + DCMLPX(1.0D0,0.0D0)

```

```

1          + DCMLPX(VARDS,0.0D0)
          ELSE
            RX(I,J) = RX(I,J) + DCMLPX(VARDS,0.0D0)
          ENDIF

do 61,if=1,nfel
  if(fail.eq.'y'.and.j.eq.fel(if)) then
    rx(i,j)=(0.,0.)
  endif

  if(fail.eq.'y'.and.i.eq.fel(if)) then
    rx(i,j)=(0.,0.)
  endif

  if(fail.eq.'y'.and.j.eq.i.and.i.eq.fel(if)) then
    rx(i,j)=(1.,0.)
  endif
61  continue

70  CONTINUE
c   write(18,330) (dreal(rx(i,j1)),dimag(rx(i,j1)),j1=1,nel)
71  continue
c   write(18,*)'VARDS',vars

C***** Calculate the transformed Covariance matrix RXT *****
C***** RXT= T * RX * T' *****
      CALL MATMUL(T,NEL,NEL,100,100,RX,NEL,100,100,TEM,100,100)
      CALL MATMUL(TEM,NEL,NEL,100,100,TINV,NEL,100,100,RXT,100,100)

C***** PARTION RXT INTO RXA,RXB,RXC,AND RXD MATRICES *****

      DO 73, I=1,nfel
        DO 73, J=1,nfel
          RXA(I,J)=RXT(I,J)
73      CONTINUE
        DO 75, I=1,nfel
          DO 75, J=nfel+1,NEL
            RXB(I,J-nfel)=RXT(I,J)
75      CONTINUE
        DO 77, I=nfel+1,NEL
          DO 77, J=1,nfel
            RXC(I-nfel,J)=RXT(I,J)
77      CONTINUE
        DO 79, I=nfel+1,NEL
          DO 79, J=nfel+1,NEL
            RXD(I-nfel,J-nfel)=RXT(I,J)
79      CONTINUE

C  CALCULATE THE HERMATION OF THE BLOCKING MATRIX (WSTBH) AND THE
C  HERMATION OF THE QUIESCENT WEIGHT VECTOR (WQTBH):

      print*, 'calculating the hermatian of ws and wq'
      DO 80 I=1,NEL-MCON-nfel
        DO 80 J=1,NEL-nfel
80          WSTBH(I,J) = DCONJG(WSTB(J,I))

      DO 90 I=1,NEL-nfel
90          WQTBH(I) = DCONJG(WQTB(I))

C  CALCULATE THE COVARIANCE MATRIX R AND THE VECTOR P:
      print*, 'calculating covariance matrix r and vector p'

      CALL MATMUL(WSTBH,NEL-MCON-nfel,NEL-nfel,100,100,RXD,NEL-nfel,
1          100,100,TEM,100,100)
      CALL MATMUL(TEM,NEL-MCON-nfel,NEL-nfel,100,100,WSTB,NEL-MCON-nfel,

```

```

1          100,100,R,100,100)
  CALL MTVTMUL(TEM,NEL-MCON-nfel,NEL-nfel,100,100,WQTB,100,P,100)

C  SOLVE WA = R**-1 * P USING THE SUBROUTINE GAUSS:
  print*, 'solve wa=r**-1*p'

  CALL GAUSS(R,P,WA,NEL-MCON-nfel,100)
  delta=secnds(t1)
  print*,delta

C***** TRANSFORM SYSTEM WEIGHTS BACK INTO ORIGINAL SYSTEM *****
  CALL MTVTMUL(TINV,NEL,NEL,100,100,WQT,100,WQ,100)
  CALL MATMUL(TINV,NEL,NEL,100,100,WST,NEL-MCON-nfel,100,100,
1          WS,100,100)

C***** CALCULATE THE HERMITIANS OF WS AND WQ *****
DO 110, I=1,NEL
  WQH(I)=DCONJG(WQ(I))
110  CONTINUE

DO 115, I=1,NEL
  DO 115, J=1,NEL-MCON-nfel
    WSH(J,I)=DCONJG(WS(I,J))
115  CONTINUE

C  Output NEL, NEL-MCON, WQH, WSH, and WAH to 'WEIGHTS.DAT.'
DO 117, I=1,NEL
  WQM(I)=DSQRT(DREAL(WQ(I))*DREAL(WQ(I))+DIMAG(WQ(I))*DIMAG(WQ(I)))
  WQP(I)=(180/3.1415)*DATAN2(DIMAG(WQ(I)),DREAL(WQ(I)))
c  print*,i,wqm(i),wqp(i)
117  CONTINUE
DO 119, I=1,NEL-MCON-NFEL
  WAM(I)=DSQRT(DREAL(WA(I))*DREAL(WA(I))+DIMAG(WA(I))*DIMAG(WA(I)))
  WAP(I)=(180/3.1415)*DATAN2(DIMAG(WA(I)),DREAL(WA(I)))
119  CONTINUE
  J = NEL-MCON-nfel
  WRITE(17,*) 'NEL=',NEL, 'j',J
  WRITE(19,*) 'NEL =',NEL
  write(17,*) ' WQ Vector'
  WRITE(19,*) 'WA VECTOR'
  write(17,*) ' 2'
  WRITE(19,*) ' 2'
DO 118, I=1,NEL
  WRITE(17,350) I,WQM(I),WQP(I)
118  CONTINUE
DO 120, I=1,NEL-MCON
  WRITE(19,350) I,WAM(I),WAP(I)
120  CONTINUE

C  write(17,*) 'WS MATRIX'
C  DO 140 I=1,NEL
C 140  WRITE(17,*) (WS(I,J), J=1,NEL-MCON-nfel)
C  write(17,*) 'WA Vector'
DO 150 I=1,NEL-MCON-nfel
 150  WA(I) = DCONJG(WA(I))
C  WRITE(17,*) (WA(I), I=1,NEL-MCON-nfel)
  CALL PATTERN(NEL,NEL-MCON,DIS,WQH,WSH,WA,fel,nfel)

C  FORMAT STATEMENTS:

210  FORMAT(/,' INPUT THE NUMBER OF ELEMENTS IN THE ARRAY: ', $)
220  FORMAT(/,' INPUT THE DISTANCE BETWEEN THE ELEMENTS IN THE ARRAY')
230  FORMAT(' IN TERMS OF THE WAVELENGTH: ', $)
235  FORMAT(/' INPUT THE DESIRED MAINLOBE-TO-SIDELobe RATIO FOR THE', $)
237  FORMAT(' CHEBYSHEV WEIGHTS IN POSITIVE DB:', $)
240  FORMAT(/,' INPUT THE NUMBER OF ANTICIPATORY NULLS DESIRED: ', $)

```

```

250  FORMAT(/,' INPUT THE LOCATION OF THESE NULLS IN DEGREES,')
260  FORMAT(' (WHERE THE BROADSIDE VIEW IS ZERO DEGREES): ', $)
270  FORMAT(/,' INPUT THE DESIRED SIGNAL TO NOISE RATIO IN DB: ', $)
280  FORMAT(/,' INPUT THE NUMBER OF INTERFERENCE SIGNALS: ', $)
290  FORMAT(/,' INPUT THE LOCATION OF THESE INTERFERENCE SIGNALS')
300  FORMAT(' IN DEGREES: ', $)
310  FORMAT(/,' INPUT THE STRENGTH OF THESE INTERFERENCE SIGNALS')
320  FORMAT(' WITH RESPECT TO THE NOISE, IN DECIBALS: ', $)
330  format(f8.1,f8.1,3x,f8.1,f8.1,3x,f8.1,f8.1,3x,f8.1,f8.1)
340  format(a1)
350  FORMAT(I4,3X,F10.4,3X,F10.4)
3    FORMAT(8(2(F3.0,X)X))

WRITE(*,*)
WRITE(*,*)' THE ARRAY PATTERN IS IN THE DATA FILE PATTERN.DAT.'
STOP
END

```

```

*****
*
* THIS PROGRAM CONTAINS A SUBROUTINE THAT CALCULATES THE QUIESCENT
* WEIGHTS OF A GENERALIZED SIDELobe CANCELOR (GSC) .
* THE FOLLOWING ARE INPUT TO THE SUBROUTINE:
*   NEL - NUMBER OF ELEMENTS IN THE ARRAY,
*   NANUL - THE NUMBER OF ANTICIPATORY NULLS,
*   DIS - THE DISTANCE BETWEEN THE ELEMENTS,
*   ANUL - THE LOCATION OF THE ANTICIPATORY NULLS.
*
* RETURNS
*   WS - The Blocking Matrix
*   WQ - THE QUIESCENT WEIGHT VECTOR
*****

      SUBROUTINE QUIESCENT(T,WST,WQT)
      IMPLICIT REAL*8 (A-H,O-Z)
      COMPLEX*16 CON,CONH,CON2,WS,WQ,A,B,XY,WCHEB,FCON,CSIMUL
      COMPLEX*16 CT,C1,C2,C2X,T,WCHEBT,WQA,WQB,WSA,WSB,WST,C2H,WQT
      COMPLEX*16 WCHEBTB
      DIMENSION ANUL(20),WCHEB(100),CON(100,100),CONH(100,100),CON2(100,100),
&      WS(100,100),FCON(100),WQ(100),A(100,100),B(100),T(100,100)

      DIMENSION CT(100,100),C2(100,100),C1(100,100),WCHEBT(100),
&      C2X(100,100),WSA(100,100),WSB(100,100),WST(100,100)

      DIMENSION WQA(100),WQB(100),WCHEBTB(100),
&      C2H(100,100),WQT(100)
      COMMON NEL,RATIO,DIS,NANUL,ANUL,MCON,nfel
      integer nfel,fel(20)
      DATA PI / 3.141592654 /
      OPEN(5,FILE='MATRIX.DAT',STATUS='NEW',RECL=5000)

C   CALL THE SUBROUTINE CHEBYSHEV.FOR TO OBTAIN THE CHEBYSHEV WEIGHTS.
C   THE MAINLOBE-SIDELobe RATIO (RATIO), AND THE NUMBER OF ELEMENTS ARE
C   PASSED; THE CHEBYSHEV WEIGHTS (WCHEB) ARE RETURNED.

2       FORMAT(2X,F6.3,'+j',F6.3,2X)
        CALL CHEBYSHEV(NEL,DIS,RATIO,WCHEB)
c       WRITE(5,*) 'WCHEB'
c       DO 20, I=1,NEL
c         WRITE(5,2) WCHEB(I)
c20      CONTINUE

C   FORM THE CONSTRAINT MATRIX (CON) AND THE f VECTOR (FCON) USING
C   TWO STEPS:

        NCON = NANUL + 1
        DR = 2.0D0*PI*DIS

C***** STEP (1)   FORM THE LOOK DIRECTION (0 DB AT 0 DEGREES) CONSTRAINT;

        DO 400 I = 1,NEL
            CON(I,1) = (1.0D0,0.0D0)
400      CONTINUE
        FCON(1) = (1.0D0,0.0D0)

C***** STEP (2)   FORM THE ANTICIPATORY NULL CONSTRAINTS;

        IF (NANUL .EQ. 0) GOTO 605
        print*,'Anticipatory null constraint matrix'
        DO 600 I = 1,NANUL
            K = I + 1
            THETA = ANUL(I)*2.0D0*PI/360.0D0

```



```

DO 500 J = 1,NEL
      XJ = J
      X = (1.0D0-XJ)*DR*DSIN(THETA)
      CON(J,K) = DCMPLX(DCOS(X), DSIN(X))
500      CONTINUE
      FCON(K) = (0.0D0,0.0D0)
600      CONTINUE

CXXXXXXXXX PRINTOUT FOR C XXXXXXXXXXXXXXXXXXXXXXXXXXXX
C      WRITE(5,*) 'C MATRIX'
C      DO 602,I=1,NEL
C          WRITE(5,1),(CON(I,J), J=1,NCON)
c602      continue
1          FORMAT(16(2X,F6.3,'+',F6.3,'*i',2X))
CXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
C***** STEP (3) COMPUTE THE TRANSFORMED MATRICES CT

      CALL MATMUL(T,NEL,NEL,100,100,CON,NCON,100,100,CT,100,100)

CXXXXXXXXX PRINTOUT FOR CT XXXXXXXXXXXXXXXXXXXXXXXXXXXX
C      WRITE(5,*) 'CT'
c      DO 601,I=1,NEL
c          WRITE(*,1)(CT(I,J), J=1,NCON)
c601      continue
CXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX

C***** STEP (4) DETERMINE THE PARTIONED MATRICES C1 AND C2 ****
      WRITE(5,*) 'PARTITIONED C1 MATRIX'
      DO 610, I=1,nfel
          DO 611, J=1,NCON
              C1(I,J)=CT(I,J)
611          CONTINUE
c          write(5,1) (C1(I,J),J=1,NCON)
610      CONTINUE

      WRITE(5,*) 'Partioned C2 matrix'
      DO 614, I=nfel+1,NEL
          DO 615, J=1,NCON
              C2(I-nfel,J)=CT(I,J)
615          CONTINUE
c          write(5,1) (C2(I-nfel,J),J=1,NCON)
614      CONTINUE

C***** STEP (5) DETERMINE THE TRANSFORMED CHEBYSHEV-- WCHEBT ***
      CALL MTVTMUL(T,NEL,NEL,100,100,WCHEB,100,WCHEBT,100)

C***** WQA=WCHEBT FOR THE FAILED ELEMENTS *****
      DO 620,I=1,nfel
          WQA(I)=WCHEBT(I)
620      CONTINUE

C***** FORM WCHEBTB = WCHEBT FOR THE UNFAILED ELEMENTS *****
c      WRITE(5,*) 'WCHEB PARTION B'
      DO 630,I=nfel+1,NEL
          WCHEBTB(I-nfel)=WCHEBT(I)
c          WRITE(5,1) WCHEBTB(I-nfel)
630      CONTINUE

C***** WQB=(I-C2*(C2H*C2)**-1*C2H*WCHEBTB + WQ *****
C      CALCULATE THE WEIGHTS WQ = B + C2* (C2H*C2)**-1 * FCON.
C      B = (I - C2 * (C2H * C2)**-1 * C2H) * WCHEBTB (WHERE C2H IS THE
C      HERMATION OF C2):

C***** STEP (1) CALCULATE C2X = C2 * (C2H * C2)**-1;

605      DO 640,I = 1,NCON

```

```

        DO 640, J = 1, NEL-nfel
            C2H(I, J) = DCONJG(C2(J, I))
640    CONTINUE
        WRITE(5, *) 'C2 HERMITIAN ==> C2H'
c      DO 641, I=1, NCON
c      WRITE(5, 1) (C2H(I, J), J=1, NEL-nfel)
c641    CONTINUE

        CALL MATMUL(C2H, NCON, NEL-nfel, 100, 100, C2, NCON, 100, 100, A, 100, 100)
        WRITE(5, *) 'C2H*C2'
c      DO 643, I=1, NCON
c      WRITE(5, 1) (A(I, J), J=1, NCON)
c643    CONTINUE

        IF (NCON .EQ. 1) THEN
            A(1, 1) = 1.0D0/A(1, 1)
        ELSE
            XY = CSIMUL(NCON, A, B, 1E-14, -1, 100)
            IF (XY .EQ. (0.0D0, 0.0D0)) THEN
                PRINT*, '          AN ERROR HAS RESULTED WHEN CALCULATING'
                PRINT*, '          THE (C2H * C2)**-1 MATRIX.'
                STOP
            ENDIF
        ENDIF

        WRITE(5, *) '(C2H*C2)**-1 ==> A'
c      DO 642, I=1, NCON
c      WRITE(5, 1) (A(I, J), J=1, NCON)
c642    CONTINUE

        CALL MATMUL(C2, NEL-nfel, NCON, 100, 100, A, NCON, 100, 100, C2X, 100, 100)

        WRITE(5, *) 'C2X=C2*C2H*C2)**-1'
c      DO 645, I=1, NEL-nfel
c      WRITE(5, 1) (C2X(I, J), J=1, NCON)
c645    CONTINUE

c***** STEP (2)  CALCULATE WQB = C2 * (C2H * C2)**-1 * FCON, AND
c                WQB = (I - C2 * (C2H*C2)**-1 * C2H) * WCHEBTB + WQB;

        CALL MTVTMUL(C2X, NEL-nfel, NCON, 100, 100, FCON, 100, WQB, 100)

        WRITE(5, *) 'WQB=C2*C2H*C2)**-1 * FCON'
c      DO 646, I=1, NEL-nfel
c      WRITE(5, 2) WQB(I)
c646    CONTINUE

        CALL MATMUL(C2X, NEL-nfel, NCON, 100, 100, C2H, NEL-nfel, 100, 100, A, 100, 100)
        DO 650 I=1, NEL-nfel
            DO 650 J=1, NEL-nfel
                IF (I .EQ. J) THEN
                    C2X(I, J) = (1.0D0, 0.0D0) - A(I, J)
                ELSE
                    C2X(I, J) = -A(I, J)
                ENDIF
            DO 650 J=1, NEL-nfel
                IF (I .EQ. J) THEN
                    C2X(I, J) = (1.0D0, 0.0D0) - A(I, J)
                ELSE
                    C2X(I, J) = -A(I, J)
                ENDIF
            ENDIF
        ENDIF

650    CONTINUE

        WRITE(5, *) '(I-C2*C2H*C2)**-1*C2H'
c      DO 647, I=1, NEL-nfel
c      WRITE(5, 1) (C2X(I, J), J=1, NEL-nfel)
c647    CONTINUE
c      DO 648, I=1, NEL-nfel
c      WRITE(5, 2) WCHEBTB(I)

```

```

c648      CONTINUE

          PRINT*, 'MULTILPY C2X AND WCHEBTB'
          CALL MTVTMUL(C2X, NEL-nfel, NEL-nfel, 100, 100, WCHEBTB, 100, B, 100)
          WRITE(5,*) 'B(I)=(I-C2*INV(C2H*C2)*C2H)*WCHEBB'
          DO 660 I=1, NEL-nfel
c          WRITE(5,2) B(I)
660        WQB(I) = B(I) + WQB(I)

C***** FORMULATE THE COMPLETE WQ VECTOR *****
          DO 670, I=1, nfel
              WQT(I)=WQA(I)
670        CONTINUE
          DO 680, I=nfel+1, NEL
              WQT(I)=WQB(I-nfel)
680        CONTINUE
          WRITE(5,*) 'WQ TRANSPOSE'
c          DO 685, I=1, NEL
c              WRITE(5,2) WQT(I)
c685      CONTINUE

cccccccccccccccccccc I am Here cccccccccccccccccccccccccccccccccc

C FORM WS SO THAT ALL ITS COLUMNS ARE LINEARLY INDEPENDENT AND
C IT SATISFIES C2H*WSB = 0:

C*****STEP (1) MODIFY CONSTRAINTS TO INCLUDE THE CHEBY PATTERN;

          MCON=NCON+1
          DO 700 I=1, NEL-nfel
700        C2H(MCON, I) = DCONJG(B(I))
          WRITE(5,*) 'C2H APPENDED'
c          DO 701, I=1, MCON
c              WRITE(5,1) (C2H(I, J), J=1, NEL-nfel)
c701      CONTINUE

C***** STEP (2) SET UP WSB INTO THE DESIRED FORM (TO ASSURE
C THE COLUMNS ARE LINEARLY INDEPENDENT);

          DO 705 I = 1, NEL-nfel
              DO 705 J = 1, NEL-MCON-nfel
                  WSB(I, J) = (0.0, 0.0)
705        CONTINUE
          DO 720 J = 1, NEL-MCON-nfel
              DO 710 I = J+1, J+MCON
                  WSB(I, J) = (3.0D0, 0.0D0)
710        CONTINUE
                  WSB(J, J) = (1.0D0, 0.0D0)
720        CONTINUE
          do 801, i=1, NEL-nfel
              do 801, j=1, NEL-MCON-nfel
c                  print*, i, j, WSB(i, j)
801          continue
C***** STEP (3) DETERMINE THE REMAINING WS TERMS FROM CH*WS = 0;

          DO 900 J = 1, NEL-MCON-nfel
c          PRINT*, 'ROW', J
              K = 1
              DO 880 I = 1, NEL-nfel
                  IF (WSB(I, J) .EQ. (1.0D0, 0.0D0)) THEN
                      DO 850 L = 1, MCON
                          A(L, MCON+1) = -C2H(L, I)
850                      CONTINUE
                      ELSEIF (WSB(I, J) .EQ. (3.0D0, 0.0D0)) THEN

```

```

      DO 875 L=1,MCON
        A(L,K) = C2H(L,I)
875      CONTINUE
c      do 799, ia=1,MCON
c        print*,ia,k,a(ia,k)
c 799      continue

      K = K + 1
      ENDIF
880      CONTINUE
      XY = CSIMUL(MCON, A, B, 1.E-18, 1, 100)
c      print*, 'xy', xy
      IF (XY .EQ. (0.0D0,0.0D0)) THEN
        PRINT*, 'AN ERROR HAS RESULTED IN THE WS MATRIX,'
        print*, 'Column ', j
        PRINT*, 'CANNOT CONTINUE!'
        STOP
      ENDIF
      L = 1
      DO 890 I = 1, NEL-nfel
        IF (WSB(I,J) .EQ. (3.0D0,0.0D0)) THEN
          WSB(I,J) = B(L)
          L = L+1
        ENDIF
890      CONTINUE
900      CONTINUE

C  SEND WS, AND WQ BACK TO MAIN4.FOR

CCCCC  Now formulate the complete transformed WB matrix CCCCCCCC
      DO 910, I=1,nfel
        DO 910, J=1,NEL-MCON-nfel
          WSA(I,J)=0.0D0
          WST(I,J)=WSA(I,J)
910      CONTINUE
      DO 920, I=1,NEL-nfel
        DO 920, J=1,NEL-MCON-nfel
          WST(I+nfel,J)=WSB(I,J)
920      CONTINUE

      RETURN
      END

```

```

*****
*
* THIS PROGRAM CONTAINS A SUBROUTINE TO COMPUTE THE DOLPH-CHEBYSHEV
* WEIGHTS FOR AN ARRAY WITH EQUALLY SPACED ELEMENTS. THE NUMBER OF
* WEIGHTS MUST BE ODD. THE SIGNAL IS AT THE BROADSIDE VIEW. THE
* ALGORITHM USED IS FROM C.J. DRANE, JR., "DOLPH-CHEBYSHEV EXCITATION
* COEFFICIENT APPROXIMATION," IEEE TRANS. ON ANT. AND PROP,
* VOL. AP-12, NUM. 6, NOV. 1964.
*
*****

```

```

SUBROUTINE CHEBYSHEV(NEL,DIS,R,W)
implicit real*8 (a-h,o-z)
real*8 delta
COMPLEX*16 W
DIMENSION W(100)
DATA PI / 3.14159265 /
R = 10.0**(R/20.0)

```

C---DETERMINE IF THE NUMBER OF ELEMENTS IS ODD OR EVEN:

```

print*,'subroutine chebyshev'
EVOD = NEL/2 - FLOAT(NEL)/2.0
IF (evod.EQ. 0.0) THEN
    N = NEL/2
ELSE
    N = (NEL - 1)/2
ENDIF

```

C---SOLVE FOR THE DOLPH-CHEBYSHEV WEIGHTS:

```

DELTA = PI*(1.-2.0d0*DIS)
Z = DCOSH(DLOG(R + DSQRT(R*R - 1.0D0))/N)
ALPHA = DACOS((3.0D0 - Z)/(1.0D0 + Z))
W(1) = ((Z+1.0D0)/(1.0D0+DCOS(DELTA)))**N
DO 20 I=2,N
    XX = DSQRT(DFLOAT(N*N - (N + 1 - I)**2))
    W(I) = N*ALPHA*BESSElf(XX*ALPHA)/XX
    &      + N*(-1)**(2*N-I+1)*
    &      DELTA*BESSElf(XX*DELTA)/XX
20 continue
IF (EVOD.NE. 0.0) THEN
C    CALL BESSEL(N*ALPHA,X1)
C    CALL BESSEL(N*DELTA,Y1)
    W(N+1) = ALPHA*BESSElf(N*ALPHA) + DELTA*BESSElf(N*DELTA)
ENDIF
DO 30 I=1,N
30    W(NEL-I+1) = DCONJG(W(I))

```

C---SCALE THE WEIGHTS SO THEY ADD UP TO ONE:

```

SUM = 0.0
DO 40 I=1,NEL
40    SUM = SUM + CDABS(W(I))
DO 50 I=1,NEL
    W(I) = W(I)/SUM
C    print*,w(i)
50    Continue

print*,'Exit chebyshev'
RETURN
END

```

C---THE FOLLOWING FUNCTION (BESSEL) FINDS THE MODIFIED BESSEL FUNCTION  
C OF THE FIRST KIND AND FIRST ORDER:

```

Real*8 FUNCTION BESSElf(X)

```

```

real*8 x
T = X/3.75
IF (X .GT. -3.75 .AND. X .LT. 3.75) THEN
  XI = 0.5 + 0.87890594*T**2. + 0.51498869*T**4.+
&      0.15084934*T**6. + 0.02658733*T**8. +
&      0.00301532*T**10.0 + 0.00032411*T**12.
  XI = XI*X
ELSE IF (X .GE. 3.75) THEN
  XI = 0.39894228 - 0.03988024*T**(-1.) -
&      0.00362018*T**(-2.) + 0.00163801*T**(-3.) -
&      0.01031555*T**(-4.) + 0.02282967*T**(-5.) -
&      0.02895312*T**(-6.) + 0.01787654*T**(-7.) -
&      0.00420059*T**(-8.)
  XI = XI*X**(-0.5D0)*DEXP(X)
ELSE
  PRINT*, '      BESSEL ERROR'
  STOP
ENDIF
BESSELf = XI
RETURN
END

```

\*\*\*\*\*

\*

\* THIS SUBROUTINE USES THE GSC TO FORM THE ARRAY PATTERN.  
 \* THE OUTPUT DATA FILE (PATTERN.DAT) CONTAINS THE ARRAY PATTERN.  
 \* Note: This file was modified from the original pattern.for program  
 \* and will be used to separate the individual outputs into their  
 \* own files named que.dat and adapt.dat. (Without headers so  
 \* they are readily accessible by pplot.

\* 8-7-89 dev

\* Note: Another file was added 'fail.dat' to store the information from  
 \* the failed element.

\*

\*\*\*\*\*

```

SUBROUTINE PATTERN(KANT,NDIM,DIS,WQH,WSH,WAH,fel,nfel)
IMPLICIT REAL*8 (A-H,O-Z)
COMPLEX*16 WQH, WSH, WAH, E, OUT, U, Y, YQ, YA ,efail(100),yqf
integer fel(100),nfel
DIMENSION E(100), WQH(100), WSH(100,100), WAH(100), U(100)
OPEN(10,FILE = 'QUE.DAT', STATUS = 'NEW')
open(11,file = 'ADAPT.DAT',Status = 'NEW')
open(14,file='output.dat',status='new')
open(12,file='real.dat',status='new')
open(13,file='imag.dat',status='new')
C WRITE(10,*) ' THE FOLLOWING IS THE PATTERN FOR THE GSC.'
C WRITE(10,*) ' LOOK ANGLE (DEGREES), QUIESCENT GAIN,
C & ADAPTIVE GAIN'
C WRITE(10,*)2
  if(fel(1).gt.0) then
    do 30,i=1,nfel
      print*, 'Failed Element!!! #',fel(i)
30  continue
    else
      print*, 'Elements OK'
    endif
  PI = 3.141592654
  E(1) = (1.0D0,0.0D0)
  DO 1000 I=1,1801
    XI = I-1.0D0
    THET=90.0D0-(XI*180.0D0/1800.0D0)
    THETA = THET*2.0D0*PI/360.0D0

```

C CALCULATE INCOMING SIGNAL:

```

      DO 90 J=2,KANT
        XJ = J
        PHI = (1.0D0-XJ)*2.0D0*DIS*PI*DSIN(THETA)
        E(J) = DCMPLX(DCOS(PHI),DSIN(PHI))
90  CONTINUE

      if(fel(1).gt.0) then
        do 35 if=1,nfel
          efail(if)=e(fel(if))
          e(fel(if))=(0.0D0,0.0D0)
35  continue
        endif

```

C CALCULATE OUTPUT (Y = YQ - YA):

```

      YQ = (0.0D0,0.0D0)
      DO 95 J=1,KANT
        yqf=0.0D0
        do 94,if=1,nfel
          if(j.eq.fel(if)) then
            yqf=yqf+wqh(j)*efail(if)

```

```

          endif
94      continue
95      YQ = YQ + WQH(J)*E(J)

      CALL MTVTMUL(WSH,NDIM,KANT,100,100,E,100,U,100)

      YA = (0.0D0,0.0D0)
      DO 100 J=1,NDIM
100         YA = YA + WAH(J)*U(J)

      Y = YQ - YA
      yqfout= cdabs(yqf)
      write(12,110)thet,Dreal(yq),Dreal(yqf)
      write(13,110)thet,dimag(yq),dimag(yqf)
c      yqfout= 20.0D0*Dlog10(yqfout)
      YQOUT = CDABS(YQ)
      YQOUT = 20.0D0*DLOG10(YQOUT)
      YAOUT = CDABS(YA)
      YAOUT = 20.0D0*DLOG10(YAOUT)
      AOUT = CDABS(Y)
      AOUT = 20.0D0*DLOG10(AOUT)

      WRITE(10,110) THET,YQOUT,YAOUT
      write(11,120)thet,YAOUT
      WRITE(14,120) THET,AOUT
110     FORMAT(2X,F15.8,6X,F15.8,6X,F15.8)
120     format(2x,f15.8,6x,f15.8)
130     format(2x,f15.8,2x,f15.8,2x,f15.8,2x,f15.8,2x,f15.8,2x,f15.8)
1000    CONTINUE
      RETURN
      END

```



```

*****
*
* THIS PROGRAM CONTAINS THE FUNCTION CSIMUL, A GAUSS-ELIMINATION SUBROUTINE,
* AND THREE MATRIX MULTIPLICATION SUBROUTINES.
*
*****
C
*****
*
FUNCTION CSIMUL(N,CMAT,CX,EPS,INDIC,NRC)
C   CSIMUL IS A COMPLEX (DOUBLE PRECISION) MATRIX INVERSION ROUTINE
C
C   USE:
C   WHEN INDIC IS NEGATIVE, CSIMUL COMPUTES THE INVERSE OF THE N BY N
C   COMPLEX MATRIX CMAT IN PLACE. WHEN INDIC IS ZERO, CSIMUL COMPUTES
C   THE N SOLUTIONS CX(1)...CX(N) CORRESPONDING TO THE SET OF LINEAR
C   EQUATIONS WITH AUGMENTED MATRIX OF COEFFICIENTS IN THE N BY N+1
C   ARRAY CMAT AND IN ADDITION COMPUTES THE INVERSE OF THE COEF-
C   FICIENT MATRIX IN PLACE AS ABOVE. IF INDIC IS POSITIVE,
C   THE SET OF LINEAR EQUATIONS IS SOLVED BUT THE INVERSE IS NOT
C   COMPUTED IN PLACE. THE GAUSS-JORDAN COMPLETE ELIMINATION METHOD
C   IS EMPLOYED WITH THE MAXIMUM PIVOT STRATEGY. THE RESULTING VALUE
C   IN LOCATION CSIMUL IS THE COMPLEX DETERMINANT OF THE SYSTEM.
C
  IMPLICIT COMPLEX*16 (A-H,O-Z)
  REAL*8 EPS
  COMPLEX*16 CMAT,CX,Y,DETER,PIVOT,CIJCK,CSIMUL
  DIMENSION IR(100),JC(100),JORD(100),Y(100),CMAT(NRC,NRC+1)
  DIMENSION CX(NRC)
  MAX=N

  IF (INDIC.GE.0) MAX=N+1
  IF (N.GT.NRC-1) THEN
    WRITE(5,200)
    CSIMUL=DCMPLX(0.0d0,0.0d0)
    RETURN
  END IF
  DETER=DCMPLX(1.,0.)
  DO 18 K=1,N
    KM=K-1
    PIVOT=DCMPLX(0.d0,0.d0)
    DO 11 I=1,N
      DO 11 J=1,N
        IF (K.EQ.1) GO TO 9
        DO 8 IS=1,KM
          DO 8 JS=1,KM
            IF (I.EQ.IR(IS)) GO TO 11
          8 IF (J.EQ.JC(JS)) GO TO 11
          9 IF (CDABS(CMAT(I,J)) .LE. CDABS(PIVOT)) GO TO 11
          PIVOT=CMAT(I,J)
          IR(K)=I
          JC(K)=J
        11 CONTINUE
        IF (CDABS(PIVOT).GT.EPS) GO TO 13
        c      print*,'pivot',pivot
        CSIMUL=DCMPLX(0.d0,0.d0)
        RETURN
      13 DETER=DETER*PIVOT
      DO 14 J=1,MAX
        14 CMAT(IR(K),J)=CMAT(IR(K),J)/PIVOT
        CMAT(IR(K),JC(K))=1.0D0/PIVOT
        DO 18 I=1,N
          CIJCK=CMAT(I,JC(K))
          IF (I.EQ.IR(K)) GO TO 18
          CMAT(I,JC(K))=-CIJCK/PIVOT
        DO 17 J=1,MAX

```

```

17 IF (J.NE.JC(K)) CMAT(I,J)=CMAT(I,J)-CIJCK*CMAT(IR(K),J)
18 CONTINUE
DO 20 I=1,N
JORD(IR(I))=JC(I)
20 IF (INDIC.GE.0) CX(JC(I))=CMAT(IR(I),MAX)
INTCH=0
NM=N-1
DO 22 I=1,NM
IP=I+1
DO 22 J=IP,N
IF (JORD(J).GE.JORD(I)) GO TO 22
JT=JORD(J)
JORD(J)=JORD(I)
JORD(I)=JT
INTCH=INTCH+1
22 CONTINUE
IF ((INTCH/2)*2.NE.INTCH) DETER=-DETER
24 IF (INDIC.LE.0) GO TO 26
CSIMUL=DETER
RETURN
C IF INDIC IS NEGATIVE OR ZERO, UNSCRAMBLE THE INVERSE
26 DO 28 J=1,N
DO 27 I=1,N
27 Y(JC(I))=CMAT(IR(I),J)
DO 28 I=1,N
28 CMAT(I,J)=Y(I)
DO 30 I=1,N
DO 29 J=1,N
29 Y(IR(J))=CMAT(I,JC(J))
DO 30 J=1,N
30 CMAT(I,J)=Y(J)
CSIMUL=DETER
RETURN
200 FORMAT(13H N IS TOO BIG)
END

```

```

*****
*
* THIS SUBROUTINE USES GAUSS ELIMINATION WITH NO PIVOTING TO SOLVE
* THE MATRIX EQUATION  $Ax = b$ , WHERE A IS AN N BY N COMPLEX MATRIX,
* X IS AN N BY 1 UNKNOWN COMPLEX VECTOR, AND b IS AN N BY 1 KNOWN VECTOR.
* IT IS A WELL KNOWN FACT THAT GAUSS ELIMINATION WITH NO PIVOTING IS
* EQUIVALENT TO LU-DECOMPOSITION.

```

```

SUBROUTINE GAUSS(A,B,X,N,NRC)
COMPLEX*16 A,B,X,Q
DIMENSION A(NRC,NRC), B(NRC), X(NRC)

```

C AUGMENTE THE MATRIX A TO INCLUDE B:

```

DO 10 I=1,N
10 A(I,N+1) = B(I)

```

C CHECK THE DIAGONAL OF A TO DETERMINE IF THERE IS AN 0,0 ELEMENT:

```

DO 20 I=1,N
IF (A(I,I) .EQ. (0.0D0,0.0D0)) THEN
WRITE(*,*) ' AN ERROR HAS OCCURED IN THE GAUSS SUBROUTINE.'
STOP
ENDIF
20 CONTINUE

```

C ZERO ENTRIES (I+1,I), (I+2,I), ..., (N,I) IN THE AUGMENTED MATRIX:

```

DO 30 I=1,N-1
DO 30 K=I+1,N

```

```

      Q = -A(K,I)/A(I,I)
      A(K,I) = (0.0D0,0.0D0)
      DO 30 J=I+1,N+1
        A(K,J) = Q*A(I,J) + A(K,J)
30    CONTINUE

C   BACKSOLVE TO OBTAIN A SOLUTION TO AX = B:

      X(N) = A(N,N+1)/A(N,N)
      DO 40 K=1,N-1
        Q=0.0
        DO 35 J=1,K
          Q=Q+A(N-K,N+1-J)*X(N+1-J)
35      X(N-K) = (A(N-K,N+1)-Q)/A(N-K,N-K)
40    CONTINUE
      RETURN
      END

*****
*
C   THE FOLLOWING THREE SUBROUTINES ARE USED TO MULTIPLY: TWO COMPLEX
C   MATRICES, A VECTOR AND A MATRIX, AND A MATRIX AND VECTOR.
C
C   IN THE FIRST SUBROUTINE, TWO MATRICES ARE MULTIPLIED. THE FOLLOWING
C   IS INPUT: MATRICES A AND B, THE DIMENSION OF MATRIX A (K x L),
C   THE DIMENSION OF MATRIX B (M x N), AND THE DIMENSION OF THE ARRAYS.
C   C = A * B IS CALCULATED.

      SUBROUTINE MATMUL(A,K,L,KM,LM,B,N,MM,NM,C,IM,JM)
      COMPLEX*16 A,B,C
      DIMENSION A(KM,LM),B(MM,NM),C(IM,JM)

      DO 10 I=1,K
        DO 10 J=1,N
          C(I,J)=(0.,0.)
          DO 10 LPP=1,L
            C(I,J)=C(I,J)+A(I,LPP)*B(LPP,J)
10      CONTINUE
      RETURN
      END

C   THE FOLLOWING SUBROUTINE IS USED TO MULTIPLY A VECTOR BY A MATRIX
C   C = A * B IS SOLVED, WHERE THE VECTOR A IS 1 x L, THE MATRIX B IS
C   L x N, AND THE VECTOR C IS 1 x N.

      SUBROUTINE VTMTMUL(A,L,LM,B,N,MM,NM,C,JM)
      COMPLEX*16 A,B,C
      DIMENSION A(LM),B(MM,NM),C(JM)

      DO 20 I=1,N
        C(I) = (0.,0.)
        DO 10 J=1,L
          C(I) = C(I)+A(J)*B(J,I)
10      CONTINUE
20    CONTINUE
      RETURN
      END

C   THE FOLLOWING SUBROUTINE IS USED TO MULTIPLY A MATRIX BY A VECTOR.
C   C = A*B IS SOLVED, WHERE THE MATRIX A IS L x M, THE VECTOR B IS M x 1,
C   AND THE VECTOR C IS L x 1.

      SUBROUTINE MVTMUL(A,L,M,LM,MM,B,JM,C,IM)
      COMPLEX*16 A,B,C
      DIMENSION A(LM,MM),B(JM),C(IM)

```

```
DO 10 I=1,L
      C(I) = (0.,0.)
      DO 10 J=1,M
        C(I) = C(I)+A(I,J)*B(J)
10    CONTINUE
      RETURN
      END
```